Policy *344* Version *1 02/24/2015*

**Policy Change Cover Sheet**

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| **This form must be attached to each policy presented. All areas in red, including the header, must be completed; if not, it will be sent back to you for completion.** |
|  | *I****f the changes you are requesting include housekeeping, please submit those changes to*** ***ndsu.policy.manual@ndsu.edu*** ***first so that a clean policy can be presented to the committees.*** |
| **SECTION**:  | Section 344: Classified Research |
| 1. **Effect of policy addition or change (explain the important changes in the policy or effect of this policy). Briefly describe the changes that are being made to the policy and the reasoning behind the requested change(s).**
 |
| * Is this a federal or state mandate? [ ]  Yes [x]  No
* Describe change: Housekeeping - Updating the policy to state the correct title.
 |
| 1. **This policy change was originated by (individual, office or committee/organization):**
 |
| * The Office of the Vice President for Research and Creative Activity, February 18, 2015
* Sheri Anderson: sheri.anderson@ndsu.edu; Cassie Johnson: cassandra.j.johnson@ndsu.edu
 |
| ***This portion will be completed by Mary Asheim.***Note: Items routed as information by SCC will have date that policy was routed listed below. |
| 1. **This policy has been reviewed/passed by the following (include dates of official action):**
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| **Senate Coordinating Committee:** |  |
| **Faculty Senate:** |  |
| **Staff Senate:** |  |
| **Student Government:** |  |
| **President’s Cabinet:** |  |

The formatting of this policy will be updated on the website once the **content** has final approval. Please do not make formatting changes on this copy. If you have suggestions on formatting, please route them to ndsu.policy.manual@ndsu.edu. All suggestions will be considered, however due to policy format guidelines, they may not be possible. Thank you for your understanding!

**North Dakota State University
Policy Manual
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**SECTION 344
CLASSIFIED RESEARCH**

SOURCE: NDSU Faculty Senate Policy

Classified research, which involves a concealed inquiry and restrictions on communication, is not conducive to the open atmosphere of a university and the disclosure of its activities to the public. Conduct of classified research restricts full participation of students and faculty who work on that research and may limit the information contained in a graduate thesis. It is therefore necessary for the University to have a policy of complete disclosure of research activities and sources of funds.

In times of national emergency, however, it is possible that freedom of dissemination conflicts with the immediate needs of society. Research funded by industrial organizations may involve patent applications which require a short delay of thesis publication resulting from that research. Faculty members may also wish to act as consultants on classified research projects not involving the use of University facilities. Consequently, a categorical refusal to accept classified research may constitute a violation of academic freedom.

For the above reasons, proposals to conduct classified research will be reviewed on a case-by-case basis by the Vice President for Research and Creative Activity or designee and the Senate Research Committee, with complete disclosure to the Faculty Senate, following the guidelines set forth below.

**GUIDELINES ON NEGOTIATING CLASSIFIED RESEARCH CONTRACTS OR ACTIVITIES**

1. The University will, under no circumstances, enter into any agreement or contract for which the direct purpose of the research results is the destruction of human life or the incapacitation of human beings.
2. No student or faculty member shall be required to obtain a security clearance in order to participate in University research.
3. No thesis containing any federally classified information will be accepted in partial fulfillment of the requirements for an advanced degree.
4. The University will not enter into any agreement or contract which restricts the freedom of the University to disclose the existence of the contract or agreement, the general nature of the research to be conducted or the identity of the outside contracting or granting agency.
5. Proprietary research contracts or agreements, involving possible patent applications by the funding organization, will be accepted by the University provided that all patent applications are filed early enough to avoid a delay of more than six months in national disclosure of a graduate thesis resulting from the research involved.
6. The University will permit the participation of faculty members in classified research of their choice by means of normal consulting arrangements or leaves of absence without pay.

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HISTORY:
New March 10, 1975

Amended April 1992

Housekeeping February 14, 2011