

Paths to understanding birational rowmotion

Gregg Musiker (UMN)* and Tom Roby (UCONN)**

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[arXiv:1801.03877v1](https://arxiv.org/abs/1801.03877v1)

- 1 Classical rowmotion
- 2 Birational rowmotion
- 3 Formula for birational rowmotion in terms of Lattice Paths
- 4 Sketch of proof
- 5 Further applications

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Classical rowmotion is the rowmotion studied by Striker-Williams (arXiv:1108.1172). It has appeared many times before, under different guises:

- Brouwer-Schrijver (1974) (as a permutation of the antichains),
- Fon-der-Flaass (1993) (as a permutation of the antichains),
- Cameron-Fon-der-Flaass (1995) (as a permutation of the monotone Boolean functions),
- Panyushev (2008), Armstrong-Stump-Thomas (2011) (as a permutation of the antichains or “nonnesting partitions”, with relations to Lie theory).
- Several times before in this special session! (So I give it short shrift.)

Motivations and Connections

- Classical rowmotion is closely related to the Auslander-Reiten translation in quivers arising in certain special posets (e.g., rectangles) [Yil17].
- Birational rowmotion can be related to Y -systems of type $A_m \times A_n$ described in Zamolodchikov periodicity [Rob16, §4.4].
- The orbits of these actions all have natural *homomesic* statistics [PR13, EiPr13, EiPr14].
- Periodicity of these systems is generally nontrivial to prove.

Classical rowmotion: Periodicity

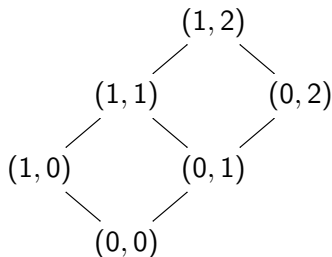
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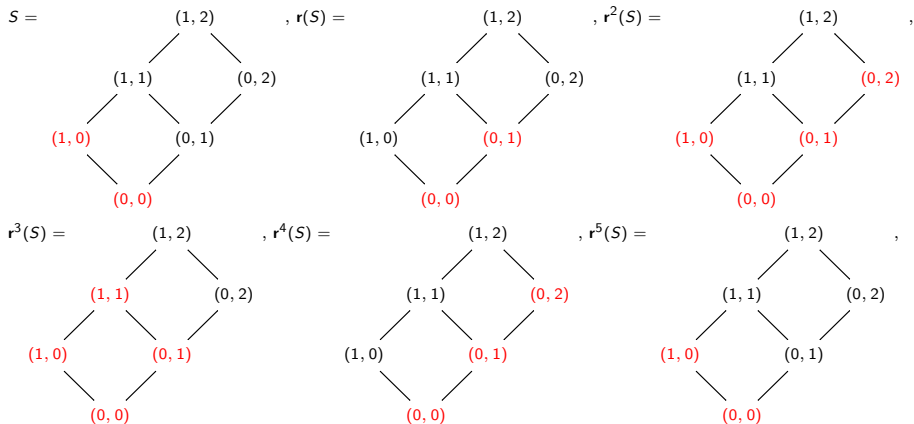
However, **for some types of P** , the order can be explicitly computed or bounded from above. See Striker-Williams [StWi11] (and the **very recent** Thomas-Williams [TW17]) for an exposition of known results.

- If P is a $[0, r] \times [0, s]$ -rectangle:



(shown here for $r = 1$ and $s = 2$), then $\text{ord}(\mathbf{r}) = r + s + 2 = 5$.

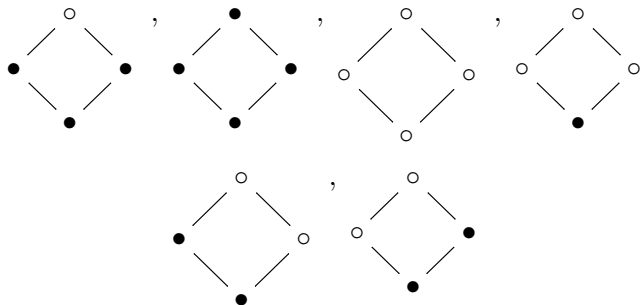
Classical rowmotion: Periodicity (Example)



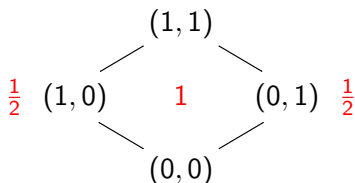
which is precisely the S we started with.

$$\text{ord}(\mathbf{r}) = p + q = 2 + 3 = 5.$$

Classical rowmotion: Antipodal and File Homomesies



The **average value** along **antipodal (N-S, E-W) pairs** is 1 for both **orbits**, and is also **constant**, as $\frac{1}{2}$ $(1, 0)$ 1 $(0, 1)$ $\frac{1}{2}$, on **files** (columns).



There is an alternative definition of rowmotion, which splits it into many small operations, each an involution.

- Define $\mathbf{t}_v(S)$ as:
 - $S \Delta \{v\}$ (symmetric difference) if this is an order ideal;
 - S otherwise.

(“Try to add or remove v from S , as long as the result remains an order ideal, i.e., within $J(P)$; otherwise, leave S fixed.”)

Rowmotion: the toggling definitions

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(“Try to add or remove v from S , as long as the result remains an order ideal, i.e., within $J(P)$; otherwise, leave S fixed.”)

- Note that $\mathbf{t}_v^2 = \text{id}$.
- Let (v_1, v_2, \dots, v_n) be a **linear extension** of P ; this means a list of all elements of P (each only once) such that $i < j$ whenever $v_i < v_j$.
- Cameron and Fon-der-Flaass [CaFl95] showed that

$$\mathbf{r} = \mathbf{t}_{v_1} \circ \mathbf{t}_{v_2} \circ \dots \circ \mathbf{t}_{v_n}.$$

Generalizing to the piecewise-linear setting

The decomposition of classical rowmotion into toggles allows us to define a **piecewise-linear (PL)** version of rowmotion acting on functions on a poset.

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The **order polytope** $\mathcal{O}(P)$ (introduced by R. Stanley) is the set of functions $f : P \rightarrow [0, 1]$ with $f(\hat{0}) = 0$, $f(\hat{1}) = 1$, and $f(x) \leq f(y)$ whenever $x \leq_P y$.

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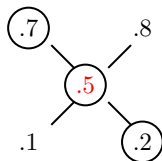
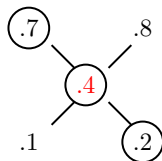
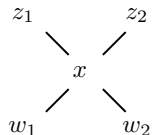
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For each $x \in P$, define the flip-map $\sigma_x : \mathcal{O}(P) \rightarrow \mathcal{O}(P)$ sending f to the unique f' satisfying

$$f'(y) = \begin{cases} f(y) & \text{if } y \neq x, \\ \min_{z \cdot > x} f(z) + \max_{w < \cdot x} f(w) - f(x) & \text{if } y = x, \end{cases}$$

where $z \cdot > x$ means z covers x and $w < \cdot x$ means x covers w .

Example of flipping at a node

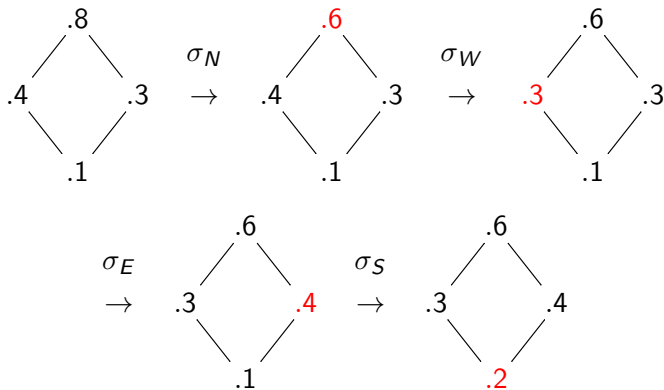


$$\min_{z \cdot > x} f(z) + \max_{w \cdot < x} f(w) = .7 + .2 = .9$$

$$f(x) + f'(x) = .4 + .5 = .9$$

Composing flips

Just as we can apply toggle-maps from top to bottom, we can apply flip-maps from top to bottom, to get *piecewise-linear rowmotion*:



(We successively flip at $N = (1, 1)$, $W = (1, 0)$, $E = (0, 1)$, and $S = (0, 0)$ in order.)

De-tropicalizing to birational maps

In the so-called *tropical semiring*, one replaces the standard binary ring operations $(+, \cdot)$ with the tropical operations $(\max, +)$. In the piecewise-linear (PL) category of the order polytope studied above, our flipping-map at x replaced the value of a function $f : P \rightarrow [0, 1]$ at a point $x \in P$ with f' , where

$$f'(x) := \min_{z \succ x} f(z) + \max_{w \prec x} f(w) - f(x)$$

We can “detropicalize” this flip map and apply it to an assignment $f : P \rightarrow \mathbb{R}(x)$ of *rational functions* to the nodes of the poset, using that $\min(z_i) = -\max(-z_i)$, to get the **birational toggle map**

$$(T_x f)(x) = f'(x) = \frac{\sum_{w \prec x} f(w)}{f(x) \sum_{z \succ x} \frac{1}{f(z)}}$$

Birational rowmotion: definition

- Let P be a finite poset. We define \widehat{P} to be the poset obtained by adjoining two new elements $\widehat{0}$ and $\widehat{1}$ to P and forcing
 - $\widehat{0}$ to be less than every other element, and
 - $\widehat{1}$ to be greater than every other element.
- Let \mathbb{K} be a field.
- A **\mathbb{K} -labelling of P** will mean a function $f : \widehat{P} \rightarrow \mathbb{K}$.
- We will represent labellings by drawing the labels on the vertices of the Hasse diagram of \widehat{P} .
- For any $v \in P$, define the **birational v -toggle** as the rational map

$$T_v : \mathbb{K}^{\widehat{P}} \dashrightarrow \mathbb{K}^{\widehat{P}} \text{ by } (T_v f)(w) = \frac{\sum_{\widehat{P} \ni u < \cdot v} f(u)}{f(v) \sum_{\widehat{P} \ni u \cdot > v} \frac{1}{f(u)}} \text{ for } w = v.$$

(We leave $(T_v f)(w) = f(w)$ when $w \neq v$.)

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- Notice that this is a **local change** only to the label at v .
- We have $T_v^2 = id$ (on the range of T_v), and T_v is a birational map.
- We define **birational rowmotion** as the rational map

$$\rho_B := T_{v_1} \circ T_{v_2} \circ \dots \circ T_{v_n} : \mathbb{K}^{\hat{P}} \dashrightarrow \mathbb{K}^{\hat{P}},$$

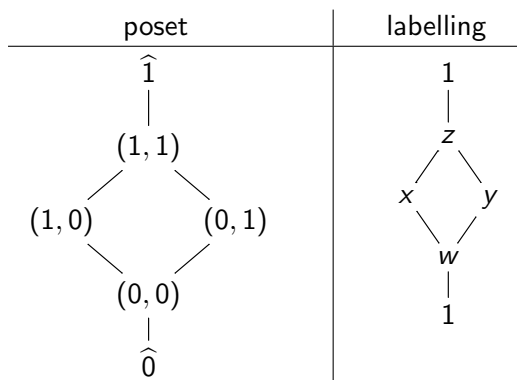
where (v_1, v_2, \dots, v_n) is a linear extension of P .

- This is indeed independent of the linear extension, because
 - T_v and T_w commute whenever v and w are incomparable (even whenever they are not adjacent in the Hasse diagram of P);
 - we can get from any linear extension to any other by switching incomparable adjacent elements.
- This is originally due to Einstein and Propp [EiPr13, EiPr14]. Another exposition of these ideas can be found in [Rob16], from the IMA volume *Recent Trends in Combinatorics*.

Birational rowmotion: example

Example:

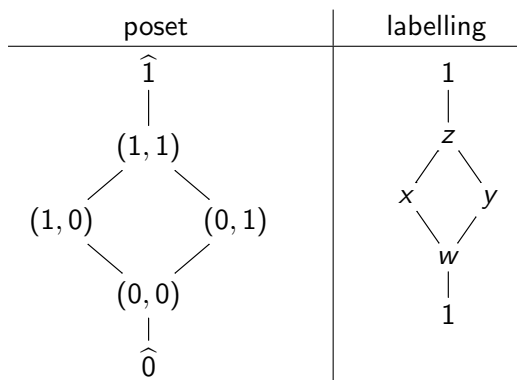
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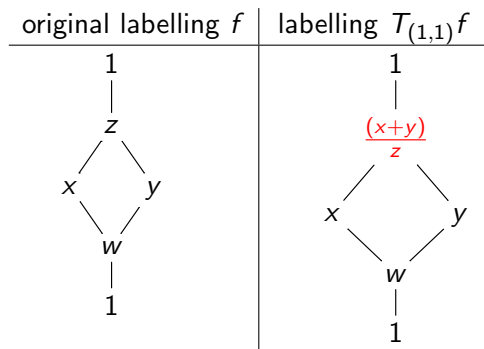


We have $\rho_B = T_{(0,0)} \circ T_{(0,1)} \circ T_{(1,0)} \circ T_{(1,1)}$
using the linear extension $((1,1), (1,0), (0,1), (0,0))$.
That is, toggle in the order “top, left, right, bottom”.

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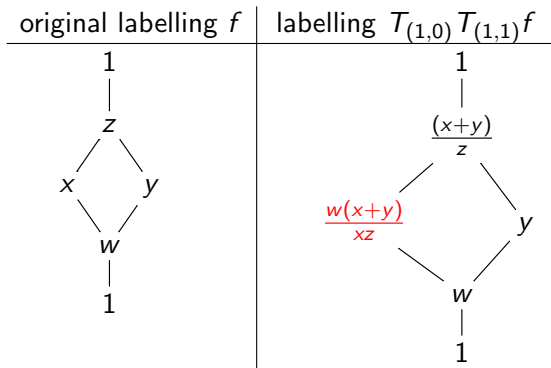


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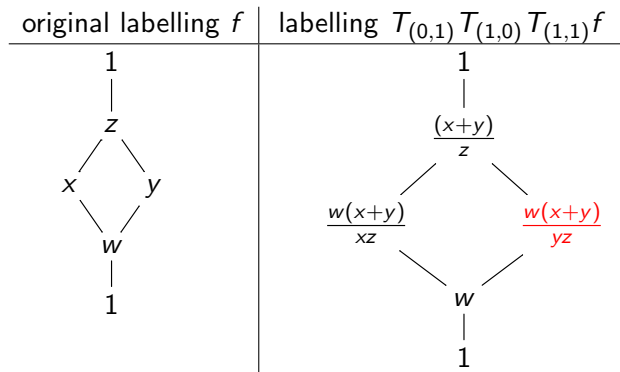


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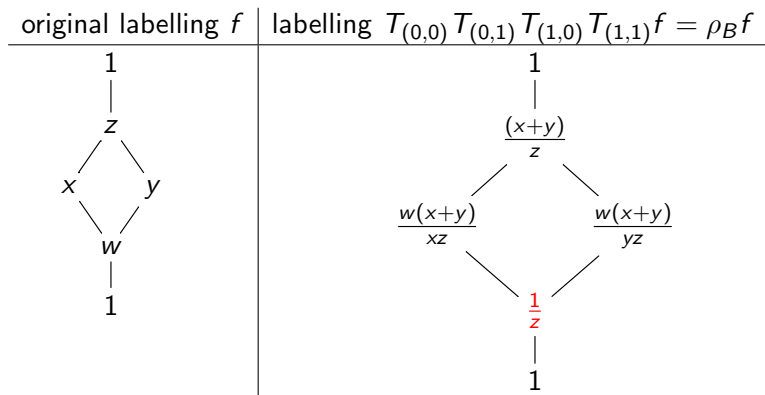


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Birational rowmotion orbit on a product of chains

Example: Iterating this procedure we get

$$\rho_B f = \begin{array}{ccc} & \frac{(x+y)}{z} & \\ & / \quad \backslash & \\ \frac{(x+y)w}{xz} & & \frac{(x+y)w}{yz} \\ & \backslash \quad / & \\ & \frac{1}{z} & \end{array},$$

$$\rho_B^3 f = \begin{array}{ccc} & \frac{1}{w} & \\ & / \quad \backslash & \\ \frac{yz}{(x+y)w} & & \frac{xz}{(x+y)w} \\ & \backslash \quad / & \\ & \frac{xy}{(x+y)w} & \end{array},$$

$$\rho_B^2 f = \begin{array}{ccc} & \frac{(x+y)w}{xy} & \\ & / \quad \backslash & \\ \frac{1}{y} & & \frac{1}{x} \\ & \backslash \quad / & \\ & \frac{z}{x+y} & \end{array},$$

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Notice that $\rho_B^4 f = f$, which generalizes to $\rho_B^{r+s+2} f = f$ for $P = [0, r] \times [0, s]$ [Grinberg-R 2015]. Notice also “antipodal reciprocity”.

Birational homomesy on files, (aka columns)

The poset $[0, 1] \times [0, 1]$ has **three files**, $\{(1, 0)\}$, $\{(0, 0), (1, 1)\}$, and $\{(0, 1)\}$.
Multiplying over all **iterates of birational rowmotion** in a given **file**, we get

$$\rho_B(f)(1, 0)\rho_B^2(f)(1, 0)\rho_B^3(f)(1, 0)\rho_B^4(f)(1, 0) = \frac{(x+y)w}{xz} \frac{1}{y} \frac{yz}{(x+y)w} (x) = 1,$$

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Each of these **products equalling one** is the manifestation, for the poset of a product of two chains, of **homomesy along files** at the **birational level**.

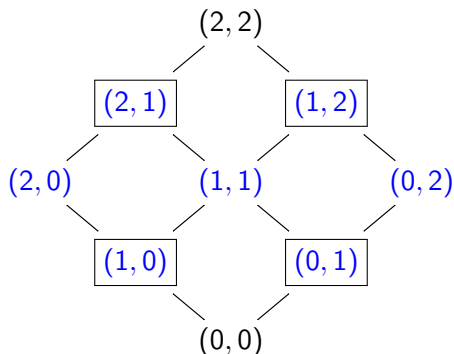
Birational Rowmotion on the Rectangular Poset

We now give a rational function formula for the values of iterated birational rowmotion $\rho_B^{k+1}(i, j)$ for $(i, j) \in [0, r] \times [0, s]$ and $k \in [0, r + s + 1]$.

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1) Let $\bigvee_{(m,n)} := \{(u, v) : (u, v) \geq (m, n)\}$ be the *principal order filter* at (m, n) , $\square_{(m,n)}^k$ be the *rank-selected subposet*, of elements in $\bigvee_{(m,n)}$ whose rank (within $\bigvee_{(m,n)}$) is at least $k - 1$ and whose corank is at most $k - 1$.



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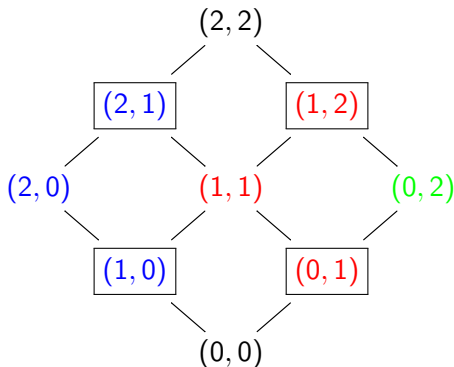
Let $A_{ij} := \frac{\sum_{z \leq (i,j)} x_z}{x_{(i,j)}} = \frac{x_{i,j-1} + x_{i-1,j}}{x_{ij}}$. We set $x_{i,j} = 0$ for $(i,j) \notin P$ and $A_{00} = \frac{1}{x_{00}}$ (working in \widehat{P}).

Given a triple $(k, m, n) \in \mathbb{N}^3$, we define a polynomial $\varphi_k(\mathbf{m}, \mathbf{n})$ in terms of the A_{ij} 's as follows.

Birational Rowmotion on the Rectangular Poset

We define a **lattice path of length** ℓ within $P = [0, r] \times [0, s]$ to be a sequence v_1, v_2, \dots, v_ℓ of elements of P such that each difference of successive elements $v_i - v_{i-1}$ is either $(1, 0)$ or $(0, 1)$ for each $i \in [\ell]$. We call a collection of lattice paths **non-intersecting** if no two of them share a common vertex.

EG: The **blue path** and **red path** below are non-intersecting.



Birational Rowmotion on the Rectangular Poset

3) Let $S_k(m, n)$ be the set of non-intersecting lattice paths in $\square_{(m,n)}^k$, from $\{s_1, s_2, \dots, s_k\}$ to $\{t_1, t_2, \dots, t_k\}$. Let $\mathcal{L} = (L_1, L_2, \dots, L_k) \in S_k^k(m, n)$ denote a k -tuple of such lattice paths.

4) Define $\varphi_k(m, n) :=$

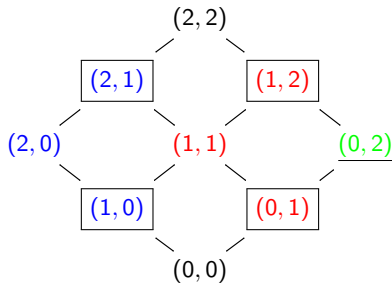
$$\sum_{\mathcal{L} \in S_k^k(m, n)} \prod_{\substack{(i,j) \in \square_{(m,n)}^k \\ (i,j) \notin L_1 \cup L_2 \cup \dots \cup L_k}} A_{ij}.$$

Theorem(*):

$$\rho_B^{k+1}(i, j) = \frac{\varphi_k(i-k, j-k)}{\varphi_{k+1}(i-k, j-k)}$$

EG: $\rho_B^2(1, 1) = \frac{\varphi_1(0, 0)}{\varphi_2(0, 0)}.$

$$= \frac{\text{sum of 6 quartic terms in } A_{ij}}{A_{20} + A_{11} + A_{02}}$$



(*) Caveats explained and general statement given in the next few slides.

Main Theorem (Musiker-R 2018)

Fix $k \in [0, r + s + 1]$, and let $\rho_B^{k+1}(i, j)$ denote the rational function associated to the poset element (i, j) after $(k + 1)$ applications of the birational rowmotion map to the generic initial labeling of $P = [0, r] \times [0, s]$. Set $[\alpha]_+ := \max\{\alpha, 0\}$ and $M = [k - i]_+ + [k - j]_+$.

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(a1) When $M = 0$, i.e., $(i - k, j - k)$ still lies in the poset $[0, r] \times [0, s]$:

$$\rho_B^{k+1}(i, j) = \frac{\varphi_k(i - k, j - k)}{\varphi_{k+1}(i - k, j - k)}$$

where $\varphi_t(v, w)$ is defined in 4) above.

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(a2) When $0 < M \leq k$:

$$\rho_B^{k+1}(i, j) = \mu^{([k-j]_+, [k-i]_+)} \left(\frac{\varphi_{k-M}(i - k + M, j - k + M)}{\varphi_{k-M+1}(i - k + M, j - k + M)} \right)$$

where $\mu^{(a,b)}$ is the operator that takes a rational function in $\{A_{(u,v)}\}$ and simply shifts each index in each factor of each term: $A_{(u,v)} \mapsto A_{(u-a, v-b)}$.

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(b) When $M \geq k$: $\rho_B^{k+1}(i, j) = 1/\rho_B^{k-i-j}(r - i, s - j)$, which is well-defined by part (a).

Remark: We prove that our formulae in (a) and (b) agree when $M = k$, allowing us to give a new proof of periodicity: $\rho_B^{r+s+2+d} = \rho_B^d$; thus we get a formula for **all** iterations of the birational rowmotion map.

Corollaries of the Main Theorem

Corollary

For $k \leq \min\{i, j\}$, $\rho_B^{k+1}(i, j) = \frac{\varphi_k(i-k, j-k)}{\varphi_{k+1}(i-k, j-k)}$.

Corollary ([GrRo15, Thm. 30, 32])

The birational rowmotion map ρ_B on the product of two chains $P = [0, r] \times [0, s]$ is **(1)** periodic, with period $r + s + 2$, and **(2)** satisfies antipodal reciprocity $\rho_B^{i+j+1} = 1/\rho_B^0(r-i, s-j) = \frac{1}{x_{r-i, s-j}}$.

Theorem

Given a file F in $[0, r] \times [0, s]$,
$$\prod_{k=0}^{r+s+1} \prod_{(i,j) \in F} \rho_B^k(i, j) = 1.$$

Example of Path Formula

We use our main theorem to compute $\rho_B^{k+1}(2, 1)$ for $P = [0, 3] \times [0, 2]$ for Here $r = 3, s = 2, i = 2,$ and $j = 1$ throughout.

When $k = 1$, we still have $M = 0$, and $\rho_B^2(2, 1) = \frac{\varphi_1(1,0)}{\varphi_2(1,0)} =$

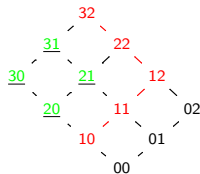
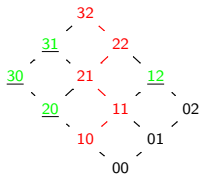
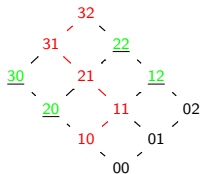
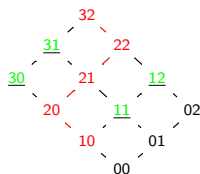
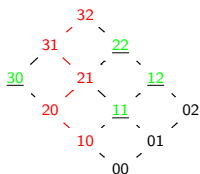
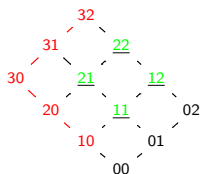
$$\frac{A_{11}A_{12}A_{21}A_{22} + A_{11}A_{12}A_{22}A_{30} + A_{11}A_{12}A_{30}A_{31} + A_{12}A_{20}A_{22}A_{30} + A_{12}A_{20}A_{30}A_{31} + A_{20}A_{21}A_{30}A_{31}}{A_{12} + A_{21} + A_{30}}.$$

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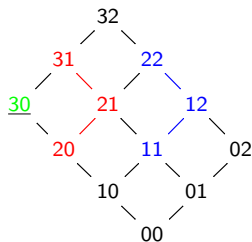
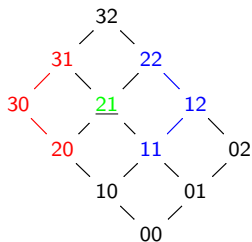
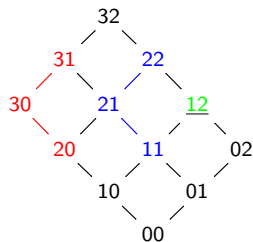


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Sketch of Proof

By the definition of birational rowmotion,

$$\rho_B^{k+1}(i,j) = \frac{\left(\rho_B^k(i,j-1) + \rho_B^k(i-1,j)\right) \cdot \left(\rho_B^{k+1}(i+1,j) \parallel \rho_B^{k+1}(i,j+1)\right)}{\rho_B^k(i,j)}$$

where

$$A \parallel B = \frac{1}{\frac{1}{A} + \frac{1}{B}}.$$

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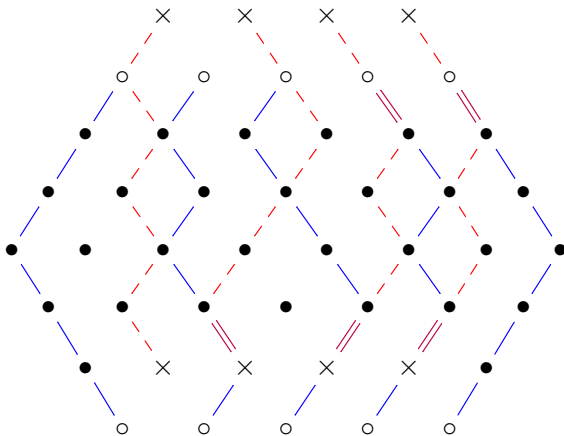
By induction on k , and the fact that we apply birational rowmotion from top to bottom, we can apply algebraic manipulations to reduce our result to proving the following **Plücker-like identity**:

$$\begin{aligned} \varphi_k(i-k, j-k) \varphi_{k-1}(i-k+1, j-k+1) = \\ \varphi_k(i-k, j-k+1) \varphi_{k-1}(i-k+1, j-k) \\ + \varphi_k(i-k+1, j-k) \varphi_{k-1}(i-k, j-k+1). \end{aligned}$$

It is sufficient to verify the following Plücker-like identity

$$\begin{aligned} \varphi_k(i-k, j-k)\varphi_{k-1}(i-k+1, j-k+1) = \\ \varphi_k(i-k, j-k+1)\varphi_{k-1}(i-k+1, j-k) \\ + \varphi_k(i-k+1, j-k)\varphi_{k-1}(i-k, j-k+1). \end{aligned}$$

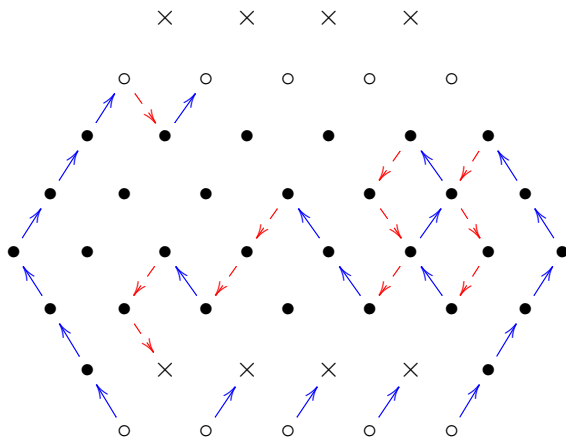
Example (k=5):



Sketch of Proof

We build **bounce paths** and **twigs** (paths of length one from \circ to \times) starting from the bottom row of \circ 's.

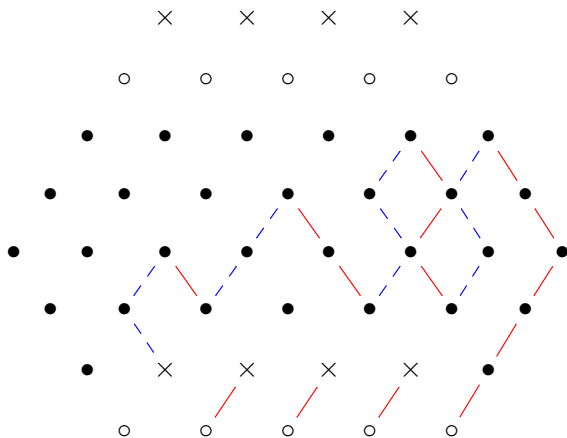
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Sketch of Proof

We then reverse the colors along the $(k - 2)$ **twigs** and the **one bounce path from \circ to \times** (rather than \circ to \circ).

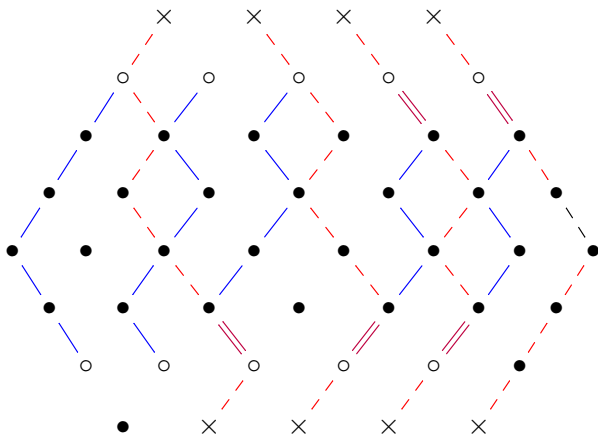
Example ($k=5$):



Sketch of Proof

Swap in the new colors and shift the \circ 's and \times 's in the bottom two rows.

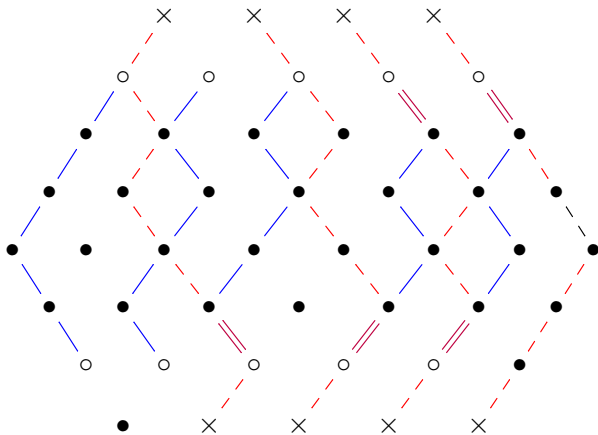
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Example (k=5):



Theorem

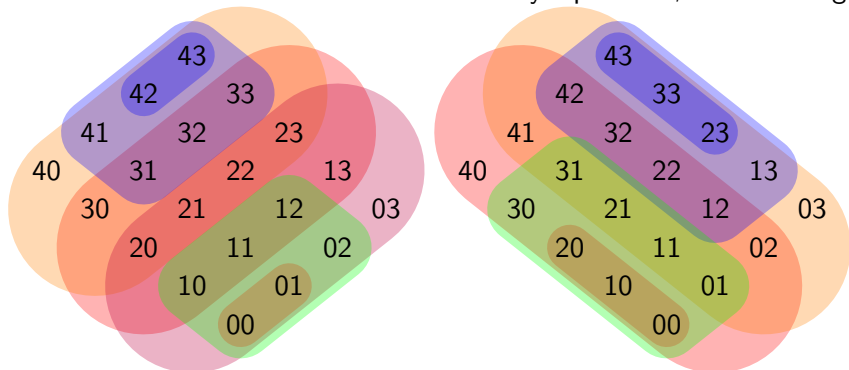
Given a file (i.e. a column) F in $[0, r] \times [0, s]$,
$$\prod_{k=0}^{r+s+1} \prod_{(i,j) \in F} \rho_B^k(i,j) = 1.$$

Further Application: Birational File Homomesy

Theorem

Given a file (i.e. a column) F in $[0, r] \times [0, s]$,
$$\prod_{k=0}^{r+s+1} \prod_{(i,j) \in F} \rho_B^k(i,j) = 1.$$

Sketch of Proof: Double-counting argument, followed by color-coded cancellations and several entries immediately equal to 1, as in ensuing table.



Further Application: Birational File Homomesy

Let $(r, s) = (4, 3)$, $d = 2$, and consider the file $F = \{(4, 2), (3, 1), (2, 0)\}$. The following table displays the values of $\rho_B^k(i, j)$ for $0 \leq k \leq 8$, $(i, j) \in F$.

| | (4, 2) | (3, 1) | (2, 0) |
|---------|---|---|---|
| $k = 0$ | $\frac{\varphi_0(4, 2)}{\varphi_1(4, 2) = 1}$ | $\frac{\varphi_0(3, 1)}{\varphi_1(3, 1)}$ | $\frac{\varphi_0(2, 0)}{\varphi_1(2, 0)}$ |
| $k = 1$ | $\frac{\varphi_1(3, 1)}{\varphi_2(3, 1) = 1}$ | $\frac{\varphi_1(2, 0)}{\varphi_2(2, 0)}$ | $\mu^{(1,0)} \left[\frac{\varphi_0(2, 0)}{\varphi_1(2, 0)} \right]$ |
| $k = 2$ | $\frac{\varphi_2(2, 0)}{\varphi_3(2, 0) = 1}$ | $\mu^{(1,0)} \left[\frac{\varphi_1(2, 0)}{\varphi_2(2, 0)} \right]$ | $\mu^{(2,0)} \left[\frac{\varphi_0(2, 0)}{\varphi_1(2, 0)} \right] = \frac{1}{x_{23}}$ |
| $k = 3$ | $\mu^{(1,0)} \left[\frac{\varphi_2(2, 0)}{\varphi_3(2, 0) = 1} \right]$ | $\mu^{(2,0)} \left[\frac{\varphi_1(2, 0)}{\varphi_2(2, 0)} \right]$ | $\frac{\varphi_1(2, 3) = 1}{\varphi_0(2, 3)}$ |
| $k = 4$ | $\mu^{(2,0)} \left[\frac{\varphi_2(2, 0)}{\varphi_3(2, 0) = 1} \right]$ | $\mu^{(3,1)} \left[\frac{\varphi_0(3, 1)}{\varphi_1(3, 1)} \right] = \frac{1}{x_{12}}$ | $\frac{\varphi_2(1, 2) = 1}{\varphi_1(1, 2)}$ |
| $k = 5$ | $\mu^{(3,1)} \left[\frac{\varphi_1(3, 1)}{\varphi_2(3, 1) = 1} \right]$ | $\frac{\varphi_1(1, 2)}{\varphi_0(1, 2)}$ | $\frac{\varphi_3(0, 1) = 1}{\varphi_2(0, 1)}$ |
| $k = 6$ | $\mu^{(4,2)} \left[\frac{\varphi_0(4, 2)}{\varphi_1(4, 2) = 1} \right] = \frac{1}{x_{01}}$ | $\frac{\varphi_2(0, 1)}{\varphi_1(0, 1)}$ | $\mu^{(0,1)} \left[\frac{\varphi_3(0, 1) = 1}{\varphi_2(0, 1)} \right]$ |
| $k = 7$ | $\frac{\varphi_1(0, 1)}{\varphi_0(0, 1)}$ | $\mu^{(0,1)} \left[\frac{\varphi_2(0, 1)}{\varphi_1(0, 1)} \right]$ | $\mu^{(1,2)} \left[\frac{\varphi_2(1, 2) = 1}{\varphi_1(1, 2)} \right]$ |
| $k = 8$ | $\mu^{(0,1)} \left[\frac{\varphi_1(0, 1)}{\varphi_0(0, 1)} \right] = x_{42}$ | $\mu^{(1,2)} \left[\frac{\varphi_1(1, 2)}{\varphi_0(1, 2)} \right] = x_{31}$ | $\mu^{(2,3)} \left[\frac{\varphi_1(2, 3) = 1}{\varphi_0(2, 3)} \right] = x_{20}$ |

Thanks for Listening!



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Thanks for Listening!



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Thanks for your attention!