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# Volumetric Display Based on Two-Photon Absorption in Quantum Dot Dispersions

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**Abstract**—A volumetric display technology based on two-photon absorption in quantum dots was investigated. We derive and validate a closed form expression for the luminance produced by dispersed quantum dots at the focal point of an infrared pulsed laser beam and we demonstrate using theory and experiments that voxels can be efficiently produced with commercially available solid-state pulsed lasers in off-the-shelf core-shell CdSe–ZnS quantum dots dispersed in toluene.

**Index Terms**—Fluorescence, optical propagation in absorbing media, pulsed lasers, quantum dots (QDs), three-dimensional (3-D) displays.

## I. INTRODUCTION

THERE are numerous real-world applications involving vast amounts of data that must be comprehended by a user. If left unprocessed, the majority of this data is incomprehensible due to the limits of human processing. Visualizing information is one of the most efficient methods for accessing, processing, and understanding large data sets. Visualization is broadly defined as the process of communicating through visual information, by utilizing computer supported graphical representation of data that aids external cognition [1].

The world is three dimensional but the current display technology routinely visualizes it in two dimensions. Some of the three-dimensional (3-D) visualization technologies used in some specific applications today include holography, stereoscopic displays, and advanced 3-D graphics engines that generally render three dimensional images on a two dimensional display by mapping the coordinates of the 3-D images into a 2-D perspective. The use of these “pseudo” 3-D visualization technologies has a few drawbacks that result from the lack of true 3-D rendering. To view a three-dimensional visualization on a two-dimensional display such as a monitor screen, some method of projection must be used to render the three-dimensional geometry. As a result, some amount of geometric information is lost through this projection, reducing user’s perception and, eventually, distorting the intention of the visualization [2]. Ultimately, these technologies lack the

physiological depth cues needed for true 3-D display imaging such as motion parallax, accommodation, convergence, and binocular disparity [3].

Another class of 3-D displays includes the so-called *volumetric displays*. The difference between these displays and the 3-D designs discussed in the previous paragraph is that they form a visual representation of an object in three physical dimensions as opposed to the planar image of traditional screens. A comprehensive review on the various volumetric display designs that fall in this category is beyond the scope of this paper; interested readers are referred to the relevant literature [4]–[6].

The “true” volumetric displays project the image within a cube viewable from all or most of its sides and provide the ultimate physiological depth cues needed for a countless number of application including air traffic control, submarine undersea navigation, automotive design, architecture, and medical imaging, just to mention a few. This is the most “direct” form of a volumetric display in which an addressable volume of space is created out of active elements that are transparent in the *off* state but are either opaque or luminous in the *on* state. When these elements or *voxels* are activated, they show a solid pattern within the space of the display [7]. The display medium in most of the true 3-D displays disclosed in the literature is a solid material (a transparent polymer plastic, glass) with dispersed fluorescent particles such as crystals doped with rare earth ions [9]–[11], dye doped plastic [12], etc. Some displays use liquids, vapors, or fluorescent gases [3], [13]. Liquid- and vapor-based display media could be difficult to handle, operate, and, in some instances, are hazardous. Displays based on dyes suffer from low quantum efficiency and weak fluorescence. Furthermore, dyes degrade fairly quickly [14].

Another class of materials, the semiconductor nanocrystals or *quantum dots* (QDs), holds a promise of solving many of the problems with the traditional luminescence materials. QDs are an excellent material for displays because their quantum efficiency is very high, much higher than the quantum efficiency of other fluorescent materials such as fluorescent gas, dye, or doped crystals. Therefore, a high-luminance display can be designed using QDs without having to rely on high-power infrared laser radiation that is difficult to contain within the display volume and would be hazardous to the user and people nearby. The use of QDs uniformly dispersed in a transparent medium for volumetric displays has been vaguely described in the patent literature [15]. Unfortunately, the inventors have not provided any evidence and/or research results to support the feasibility of this idea.

The few true volumetric displays described in the relevant patent literature (none of these designs has been realized to

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date) are built around the concept of two intersecting beams exciting the fluorescent material in the intersection between the two beams. However, as discussed later in this text, the optical properties of QDs are quite different from that of the fluorescent materials proposed for displays with two intersecting collimating beams. If one tries to exploit two-photon absorption in QD using two lasers with intersecting collimating beams and assuming that the sum of the energy of both photons matches the requirement for two-photon absorption in QD, the absorption will be very weak and the irradiance required will be much larger than the one needed to produce a voxel with the same luminance through two-photon absorption at the focal point of a single focused beam. The other problem with the two intersecting beams configuration is that the contrast between the interception point and the region illuminated by the two beams would be very small, just a factor of four that corresponds to a contrast ratio of only 6 dB, which is unacceptably low. Kimura *et al.* [16] reported the first 3-D single beam display that creates plasma dot arrays in the air at the focal point of the infrared pulsed laser at a rate of 100 dots per second. The dots are produced by supercontinuum generation in atmospheric air when the irradiance exceeds the multiphoton ionization threshold at the focal point of the laser, which is required to produce peak powers in excess of 3.2 GW [17]. This is four orders of magnitude higher than the power required in the technology explained later in this paper. This extremely high peak power poses a significant safety hazard and also substantially limits the pulse repetition rate of the laser. In addition, strong acoustic waves are produced at the focal point of the laser. Finally, it does not seem possible to create a color volumetric display using this approach.

## II. THEORETICAL BASIS OF DISPLAY TECHNOLOGY

The two main components of any volumetric display are the display medium and the optical or electrical mechanism used to address and to turn on/off individual voxels. The display medium considered here consists of QDs dispersed in toluene. The dispersion medium was selected for its ease of use and for the sole purpose of demonstrating the theoretical principles of voxel generation in dispersed QDs. A real volumetric display based on these principles could use any other transparent host material—solid, liquid, or gaseous—provided that the dispersion medium does not adversely affect the optical properties of QDs or the overall operation and use of this device. The voxels are produced by exciting the QDs at the focal point of an invisible infrared beam that causes the QDs to emit light in the visible spectrum. The following subsections briefly discuss the display medium and the interaction of the laser light with the QDs.

### A. Quantum Dots

The quantum dots are semiconductor nanocrystals of group II-VI (e.g. CdS, CdSe, CdTe, ZnS, ZnSe, ZnTe), or group III-V (e.g. GaAs, InAs, InGaAs, InP) capable of emitting electromagnetic radiation upon excitation. The wavelength of the emitted light depends on the size of the nanocrystal and the material. QDs have narrow emission bandwidth—from 20 to 40 nm—that scales with pump intensity. The larger the nanocrystal, the more red shifted and narrower the emission

band is. QDs generally have a broad absorption band; the electromagnetic radiation absorption continuously increases from the onset, which occurs near to but at slightly higher energy than the emission peak. The unique optical properties of the QDs can be explained with the spatial quantum confinement effect. It is well known that the electronic and optical properties of materials are affected by size and shape. In the typical macroscale solid materials the bandgap (e.g., the interval between the top of the valence band and the bottom of the conduction band) remains at its original energy due to continuous allowed energy states outside the bandgap. In semiconductors, the Bohr exciton radius may be considerably larger than the lattice constant. In case of cadmium selenium, for example, the Bohr exciton radius is 4.9 nm [18] and the lattice constant of a zinc-blende (cubic) CdSe is 0.605 nm [19]. Modern technology provides opportunities for fabricating crystallite of semiconductor material with a size compatible with the Bohr exciton radius (for the example of CdSe that means a nanocrystal with several thousands of atoms on the average). In such low-dimension structures (named QDs by Mark A. Reed) the motion of the excitons in the crystallite will experience quantum confinement in all three directions. The effect of quantum confinement is that the allowed energy states are not continuous but quantized into a number of energy bands, forming a discrete spectrum that is controlled by the number of atoms, i.e., by the nanocrystal size.

While the quantum confinement has been studied experimentally and well understood theoretically [18], [20] the electron-phonon interaction in QDs remains a controversial subject [21]. The problem is complicated by the fact that in real structures containing QDs, the latter are embedded in a dielectric medium with a dielectric constant typically smaller than the dielectric constant of the QD. For example, organic molecules could be used to “cap” the outer surface of core semiconductor nanocrystals to prevent the aggregation and oxidation or the core could be enclosed in a shell of another semiconductor. The difference in the dielectric constants gives rise to surface polarization effects [22], [23]. Moreover, the interface between the QD and surrounding material cannot be considered as an infinite potential barrier and the simple *particle-in-a-box* theoretical analysis in which the confinement space has an infinite potential at its boundaries is not directly applicable. Both effects together may result in instabilities such as self-trapping of carriers at the QD surface [24]. In this case, the electron-hole pair state evolves from a volume state in which the pair is inside the QD, to a surface-trapped state in which the radial charge distribution is concentrated near the surface [18]. This transition modifies substantially the emission properties of the embedded QDs. For example, the core-shell type QDs, such as the ones with optical characteristics described in Table I, exhibit different optical properties than the core material [25].

QDs are an excellent material for displays because of the following properties [18]: 1) their quantum efficiency is very high, much higher than the quantum efficiency of other fluorescent materials such as fluorescent gas, dye, or doped crystals. Therefore, a high-luminance display can be designed without having to rely on high-power infrared laser radiation; 2) color tunability of the luminescence is easily obtained through size

TABLE I  
OPTICAL PROPERTIES OF 560 nm CdSe/ZnS QDs

Parameter	Value
Emission peak, $\lambda_e$ :	560 nm
Quantum efficiency, $\Phi$ :	0.4
Absorption peak (1 <sup>st</sup> exciton), $\lambda_a$ :	545 nm
Extinct. coeff. 1 <sup>st</sup> exciton peak, $\epsilon$ :	$0.98 \times 10^5 \text{ L}\cdot\text{mol}^{-1}\cdot\text{cm}^{-1}$
Abs. coeff. 1 <sup>st</sup> exciton peak, $\sigma_a$ :	$2.25 \times 10^5 \text{ L}\cdot\text{mol}^{-1}\cdot\text{cm}^{-1}$
TPA coefficient at $2\times$ wavelength of 1 <sup>st</sup> exciton peak, $\Sigma$ :	$10^4 \text{ GM}$ , where $1 \text{ GM} = 10^{-50} \text{ cm}^4\cdot\text{s}\cdot\text{photon}^{-1}$

control; 3) the luminescence of QDs is very narrow, i.e., spectrally pure (although Bowers and co-workers have recently reported a white-light emission from so-called “magic-size” QD [27]); 4) the QDs are photostable; and 5) the absorption line-shape of the QDs continues above an onset (which depends on particle size). Therefore, the luminescent QDs are capable of being excited over a broad bandwidth, yet exhibit emission in a narrow wavelength band. For the monochromatic display described here, one possible fluorescence material would be the QDs in Table I. Their 560-nm emission peak is close to the peak sensitivity of the human eye in photopic vision (555 nm). It can be shown that QDs with different emission bands can be used to produce a volumetric color display but this discussion is beyond the scope of this paper.

### B. Upconversion Photoluminescence in QDs

The fundamental process in the described display is the upconversion photoluminescence initiated in the QDs by a focused infrared laser beam. The upconverted or anti-Stokes photoluminescence (UCPL) is the emission of photons with energies higher than the excitation energy. The UCPL occurs when the QDs are excited with light sources operating at wavelengths much longer (i.e., lower energy photons) than the ones in the absorption spectrum that produces the normal photoluminescence (PL) band.

Several studies on QDs, including CdTe, InP, and CdSe QDs [29]–[32], have found that the UCPL increases linearly with the excitation power that, according to the interpretations offered by the authors, means that processes like *two-photon absorption* (TPA) and, since the possibility of emergence of more than one exciton per QD is negligible, Auger excitation can be excluded. Based on these observations, the authors of these studies concluded that in their experiments the UCPL mechanism would involve a single-photon excitation through intermediate sub-gap states to the higher energy (luminescent) states. On the contrary, other researchers investigating the same type of QDs (CdTe and CdSe) and other types such as CdS, have reported a quadratic or nearly quadratic dependence between the emittance and excitation power, which is a strong indicator of a TPA process [33]–[38]. Thantu [39] carried out time-resolved experiments to show that a significant part of the UCPL produced by a borosilicate glass doped with ZnSe QDs results from second-harmonic

generation (SHG). Jacobsohn and Banin [39] studied size dependence of the SHG in CdSe QDs dispersed in toluene. They reported that the observed SHG emission was a several orders of magnitude weaker than that caused by a TPA. SHG is easily differentiated from TPA because there is no absorption process in SHG. Therefore, the response time in SHG is much faster than the luminescence that is produced after TPA in a fluorescent material. If SHG was also efficient in QDs embedded in other transparent hosts, the visible UCPL from those QDs could be tuned by the appropriate choice of the pump wavelength. However, since SHG is a coherent effect, it is generated along the same direction as the excitation source. Therefore, the SHG is not as effective as the TPA in producing voxels in volumetric displays.

The differences in the UCPL mechanisms suggested in the literature are likely due to the differences in the QDs structure and different materials used in the nanocrystal core and, when present, in the nanocrystal shell, the presence of surface defects on the core and shell surfaces, and the properties of the host material in which the QDs were dispersed. Most of these parameters are dependent on the process used to synthesize the nanocrystals. The QDs with linear dependence of the UCPL can be used as fluorescence targets due to their efficient excitation, while the QDs with quadratic dependence of the UCPL can be efficiently used for confocal imaging [33] and, if the UCPL is primarily due to TPA, for voxel generation in volumetric displays resulting from selective excitation near the focal point of the laser beam.

The absorption cross-section of the QDs is much higher than that of the traditional fluorescent materials. Pu *et al.* [38] have investigated the size-dependent TPA cross section of II-VI QDs and have found that the TPA cross section is empirically related via a power-law proportionality of  $3.5 \pm 0.5$  and  $5.6 \pm 0.7$  to the diameters of CdSe and CdTe QDs. They attributed their results to the intrinsic TPA properties of the QDs. Chon *et al.* [41] reported a three-photon excitation of CdS QDs exciting the nanocrystals with a Ti:sapphire femtosecond laser operating at a pulse width of 100 fs, a repetition rate of 80 MHz, and a wavelength range from 900 nm to 1000 nm. The authors measured the TPA cross section of CdSe QDs to be approximately  $\sim 10^{-46} \text{ cm}^4 \text{ s photon}^{-1}$ , which is two to three orders of magnitude higher than the value for the common fluorescent dyes. The three-photon absorption cross section of the same material was also measured and found to be  $\sim 10^{-79} \text{ cm}^6 \text{ s}^2 \text{ photon}^{-2}$ , or three to four orders of magnitude higher than those of the previously reported common fluorescent dyes. These results once again illustrate how efficient QDs can be as a display material in volumetric displays.

It is obvious from the reported results that the simplified analyses based on the elementary quantum mechanics alone may not be sufficient to explain some of specifics of the UCPL in systems with practical applications such as QDs embedded in dielectric materials and often times enclosed in a thin shell of a second semiconductor with larger band gap (e.g., ZnS capped CdSe QDs), or passivated with a monolayer of TOPO (tri-*n*-octyl phosphine oxide) molecules to eliminate electron surface traps. The intrinsic properties of the host medium and the surface effects in the QDs need all to be taken into account.

It is hypothesized that some of the observed phenomena in the UCPL of embedded QDs, such as the redshift in the emitted light, are due to the presence of these surface states [29], [31], [33], [42]. The importance of studying the entire system, not only the QDs, is illustrated by the fact that in solids the UCPL spectrum is significantly redshifted relative to the PL spectrum, whereas in solutions using the same QDs there is a very little spectral shift [37].

The conclusion from the above discussion is that the UCPL due to TPA is presumably the most efficient mechanism for generating voxels in QDs embedded in a transparent host medium and, ultimately, for building a volumetric display. For that reason the focus in our study is on the embedded capped QDs in which the UCPL has quadratic dependence on the excitation irradiance. In these nanocrystals, the TPA is the main mechanisms for UCPL at room temperature. A characteristic of the upconversion emission from QDs through TPA is the quadratic or near-quadratic laser power dependence,  $I \sim P^K$ , where  $I$  is the emittance,  $P$  is the instantaneous power of the pump source, and  $K$  is an exponent with values between 2.0 and 1.7 that depends on the size (color) of the QDs [33]. Therefore, the luminance of a voxel in the volumetric display could be considered directly proportional to the square of the laser pump power and inversely proportional to a higher power on the diameter of the excited region [10]. In the next section we derive theoretically a relationship for the dependence of the voxel luminance on the pump irradiance and voxel diameter using the physics of TPA and beam optics. The results indicate that we can control the luminance of a given voxel by modulating the pump laser power.

### C. Theory of Voxel Generation in QDs

One of the questions addressed in this study is what optimal concentration of QDs in the display medium is required to generate voxels with sufficient emittance. A too large QD concentration will attenuate the light emitted by the voxels before it crosses the boundaries of the volumetric display as a result of the one-photon absorption because of the strong overlap between the QDs absorption and the emission spectra. A too small QD concentration would not provide sufficient luminance. There is obviously a range of operational values for the QD concentration that needs to be experimentally determined. It is logical to assume that the optimal value of the QD concentration will be close to the lower end of this range. This will ensure sufficient brightness from the voxel without saturating the display medium with too much active material. In this section we derive an expression for the luminance of the voxels at 555 nm (green), which is the wavelength at which the photopic human vision is the most sensitive. This expression can be easily extended to other wavelengths, including red and blue, for which the photopic human vision is less sensitive.

A typical laptop monitor has a luminance between 100 nit and 250 nit ( $1 \text{ nit} = 1 \text{ cd} \cdot \text{m}^{-2}$ ) [43]. The irradiance,  $I$ , in case of unsaturated TPA is given by [44]

$$dI/dz = -\beta I^2 \quad (1)$$

where  $\beta = 2C\Sigma/(h\nu)$  and  $C$  is the concentration. Therefore, the TPA cross-section  $\sigma_{2p}$  at the first exciton is given by

$$\sigma_{2p} = \frac{\beta}{C} = \frac{2\Sigma}{h\nu} = 6.95 \times 10^{-36} \text{ m}^4 \cdot \text{s} \cdot \text{J}^{-1}. \quad (2)$$

The solution to (1) is given by

$$I(z) = \frac{I_0}{1 + \beta I_0 z} = \frac{I_0}{1 + \sigma_{2p} C I_0 z}. \quad (3)$$

If the medium is unsaturated and highly transparent, the irradiance variation,  $\Delta I$ , due to the absorption along the propagation direction  $z$  is given by

$$\Delta I(z) \cong \sigma_{2p} C I_0^2 z. \quad (4)$$

This corresponds to the regime in which we expect the volumetric display to operate.

The voxel dimension produced by TPA is defined by the spatial dependence of the irradiance squared,  $I^2$ . We define the voxel dimensions as the lengths in which  $I^2$  decreases by half when compared with the peak irradiance squared,  $I_0^2$ . These dimensions are essentially the full-width at half-maximum (FWHM) of  $I^2$ .

Following Saleh and Teich [45], the irradiance  $I$  and the beam radius  $W$  of a Gaussian beam ( $TEM_{00}$ ) can be expressed as a function of the transversal  $\rho$  and the longitudinal  $z$  coordinate as follows:

$$I(\rho, z) = \frac{2P}{\pi W^2(z)} \exp\left[-\frac{2\rho^2}{W^2(z)}\right] \quad (5)$$

and

$$W(z) = W_0 \left[1 + \left(\frac{z}{z_0}\right)^2\right]^{1/2} \quad (6)$$

where  $P$  is the optical power,  $I_0$  is the irradiance,  $W_0$  is the beam waist radius, and  $z_0$  is the Rayleigh range of beam. The Rayleigh range of a Gaussian beam is the distance from the beam waist in which the beam diameter increases by a factor  $2^{1/2}$ , which can be calculated from (5) and (6)

$$z_0 = \frac{\pi W_0^2}{\lambda}. \quad (7)$$

Then, the voxel radius,  $W_{vx}$ , and the longitudinal length of the voxel,  $L_{vx}$ , are given by

$$W_{vx} = \frac{\sqrt{\ln(2)}}{2} W_0' \quad \text{and} \quad L_{vx} = 2z_0'. \quad (8)$$

The prime parameters  $W_0'$  and  $z_0'$  in (8) indicate the beam waist radius and Rayleigh range, respectively, after the laser beam is focused by a lens to form a voxel. If the lens is located at the waist of the laser beam, the focused beam waist and Rayleigh range are given by [45]

$$W_0' = \frac{W_0}{[1 + (z_0/f)^2]^{1/2}} \quad \text{and} \quad z_0' = \frac{z_0}{1 + (z_0/f)^2}. \quad (9)$$

TABLE II  
PARAMETERS OF EXCITATION LASERS CONSIDERED

Model	Spectra Physics Tsunami	Teem Photonics
Type	Ti:Sapphire femtosecond	Q-switched Nd:YAG
Lasing wavelength $\lambda_{\text{exc}}$	Tunable from 720 nm to 1050 nm	1065 nm
Pulse width $\Delta t$	100 fs	560 ps
Peak power $P_p$	145 kW	~18 kW
Energy per pulse $E_p$	~145 nJ	10.1 $\mu\text{J}$
Pulse repetition frequency $f_{\text{rep}}$	80 MHz	6.9 kHz

For a Ti:sapphire laser tuned at 850 nm, the voxel dimensions are  $W_{vx} = 8.08 \mu\text{m}$  and  $L_{vx} = 3.50 \text{ mm}$  for a collimated beam at  $\lambda_l = 977 \text{ nm}$  with a beam diameter  $D_B = 4 \text{ mm}$  before being focused by a lens with a focal length  $f = 150 \text{ mm}$ . In this case, and assuming that the laser beam is focused in the volumetric display from the bottom side of the display, the resolution along the vertical direction in a  $35 \text{ cm} \times 35 \text{ cm} \times 35 \text{ cm}$  display would be 100 pixels. This resolution can be increased with the use of a more tightly focused beam.

The luminance in nits ( $\text{cd} \cdot \text{m}^{-2}$ ) of the light emitted by a voxel, which is proportional to the optical power emitted per unit of area, can be calculated from (4) to (9) and from the Beer's law as

$$B = \kappa \sigma_{2p} \Phi C I_p^2 W_{vx} \Delta t f_{\text{rep}} \frac{\lambda_{\text{exc}}}{\lambda_{\text{emi}}} \exp(-\sigma_{a,\text{emi}} C L_{\text{view}}) \quad (10)$$

where  $\lambda_{\text{emi}}$  is the peak emission wavelength of the QDs,  $\lambda_{\text{exc}}$  is the wavelength of the excitation source,  $I_p = P_p / (\pi W_0^2)$  is the peak irradiance of the excitation source in the voxel,  $\sigma_{a,\text{emi}} = 0.23 \sigma_a$  is the effective absorption coefficient at the emission spectrum of the QDs (approximately 23% of the absorption coefficient at the first exciton peak),  $L_{\text{view}}$  is the optical path between the voxel and the observer inside the QD dispersion, and  $P_p$  and  $\Delta t$  are described in Table II. The parameter  $\kappa = 683/16$  in (10) is a constant that is required to express the luminance in nits. The derivation of this expression is given in the Appendix.

The minimum concentration of QDs for a Q-switched Nd:YAG laser that satisfies the minimum luminance for normal display operation,  $B \geq 100 \text{ nit}$  with  $L_{\text{view}} = 17.5 \text{ cm}$ , was calculated from (10) at  $C_{\text{min}} = 2.36 \times 10^{14} \text{ cm}^{-3}$ . This value was confirmed experimentally in Section III for an equivalent experimental setup. For a Ti:sapphire laser operating at  $\lambda_{\text{exc}} = 977 \text{ nm}$ , the minimum concentration that satisfies the required minimum luminance was calculated at  $C_{\text{min}} = 1.037 \times 10^{12} \text{ cm}^{-3}$ . Because of the higher peak power produced by the Ti:sapphire femtosecond laser, this value is two orders of magnitude lower than the minimum concentration required if a Q-switched Nd:YAG laser was used.

In these calculations we assumed that a single voxel is turned on with 100 nit of luminance. In the practical case of a volumetric image, for the power specified in the lasers, the minimum concentration  $C_{\text{min}}$  has to be increased proportionally to

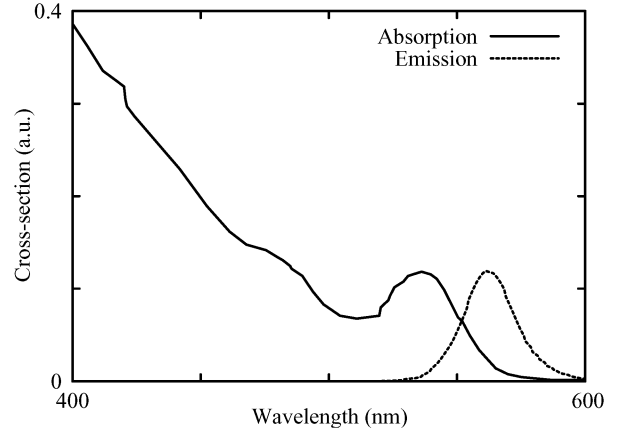


Fig. 1. Absorption and emission cross-section spectra (arbitrary units) of 560 nm core-shell CdSe/ZnS.

the number of voxels, which affects the effective value of  $f_{\text{rep}}$  in (10).

One important question to address is how realistic the calculated minimum concentration is. The concentration of the QDs in the dispersion can be calculated from the following equation:

$$C = N_A c \quad (11)$$

where  $C$  is the number concentration, QDs/ $\text{cm}^3$ ;  $N_A$  is the Avogadro constant  $\approx 6.022 \times 10^{23} \text{ mol}^{-1}$ ;  $c$  is the molar concentration,  $\text{mol} \cdot \text{cm}^{-3}$ . A typical value for the molar concentration of 560 nm CdSe/ZnS QDs in  $1 \text{ cm}^3$  is 54 nmol [46], which yields a concentration of  $3.25 \times 10^{16} \text{ QDs/cm}^3$ . Therefore, approximately 0.073 mg of dry powder QDs will need to be dispersed in  $1 \text{ cm}^3$  of the display medium to achieve the minimum concentration calculated from (10) if an Nd:YAG laser was used. The total quantity required for a 35/35/35 cm display would be 3.1 g. In this case, the coefficient of filling (the ratio of the actual concentration to the total saturation value) is only 0.73%. This number for the femtosecond laser would be much smaller, just 31.89 ppm.

### III. EXPERIMENTAL DEMONSTRATION OF TECHNOLOGY

A series of TPA experiments were carried out to prove the technical feasibility of the proposed approach for creating a single voxel for a volumetric display using a *Teem Photonics* passively Q-switched 1064-nm Nd:YAG microchip laser with specifications given in the last column of Table II. The display medium was a dispersion of 10 mg 560 nm core-shell CdSe/ZnS QD in 1-ml toluene, procured from *Ocean Nanotech*. The absorption spectrum for this material with an emission peak of 560 nm and an absorption peak of 538 nm is shown in Fig. 1.

The experimental dispersions were placed in a 10-mm GL14-C quartz cuvette from *Starna Cell* with 10 mm path-length size and two polished parallel optical windows. The starting material was additionally diluted with toluene to various concentrations. The molar concentration of the QDs in the dispersions was calculated from the Beer-Lambert law:

$$c = \frac{A}{\epsilon \lambda} \quad (12)$$

TABLE III  
ABSORBANCE, CONCENTRATION, VOXEL LUMINANCE AND SIZE

Absorbance	C ( $10^{14} \text{ cm}^{-3}$ )	Median Luminance (0-255)	Mean Diameter (mm)
0.108233	5.83	40	1.5
0.147603	7.97	59	1.8
0.182931	9.88	81	1.9
0.280827	15.16	120	1.9

where  $c$  is the molar concentration,  $\text{M} \cdot \text{cm}^{-3}$ ;  $A$  is the absorbance at the peak position of the first exciton absorption peak;  $\epsilon$  is the molar extinction coefficient,  $\text{L} \cdot \text{M}^{-1} \cdot \text{cm}^{-1}$ ;  $\ell$  is the pathlength, cm. The molar extinction coefficient was assumed =  $1.115 \times 10^5 \text{ L} \cdot \text{M}^{-1} \cdot \text{cm}^{-1}$  following Yu *et al.* [47]. Once the molar concentration was calculated, the number concentration of QDs in the dispersion was determined from (11). The results for the measured absorbance and calculated concentrations are shown in Table III along with the measured median luminance and the mean diameter of the voxels generated in the dispersions. To carry out the absorbance measurements, we used an *Intelite* CW 532 nm frequency-doubled Nd:YAG laser with 5 mW of power.

The beam of the 1064 nm  $Q$ -switched laser was focused in the middle of the dispersion. To avoid the use of a beam expander, we used a lens with the focal length equal to 25 mm located at the beam waist of the laser, whose beam waist radius was equal to  $250 \mu\text{m}$ . With these beam parameters, the estimated from (8) voxel dimensions were  $W_{vx} = 11.6 \mu\text{m}$  and  $L_{vx} = 3.33 \text{ mm}$ , which are comparable to those obtained in Section II for a lens with a much longer focal length focusing a wider laser beam.

The first voxel was clearly visible with a naked eye at a concentration of  $5.83 \times 10^{14} \text{ cm}^{-3}$ , an experimental value fairly close to the theoretical value of  $3.88 \times 10^{14} \text{ cm}^{-3}$  predicted by (10) with  $L_{\text{view}} = 0.5 \text{ cm}$  for a voxel with luminance  $B = 100 \text{ nit}$ . With the concentration for the first visible voxel used in (10), the luminance was calculated at  $B = 149 \text{ nit}$ . This difference between experiment and theory can be attributed to the imperfect optics that leads to a non-Gaussian profile of the beam at the voxel, and to the numerical deviation in the values used for the parameters in (10). There should be also a loss of light due to the reflection at the toluene-quartz interface and at the quartz-air interface.

Fig. 2 shows a typical result from the TPA experiments in which a well-defined voxel was successfully generated in the dispersion. Table III shows the values for the voxel luminance and diameter measured from the optical photographs for different concentrations. The voxel luminance was measured from the histogram in the green channel of the image. The luminance values represent the median of the histogram on a scale of 0 (dark) to 255 (light). These values are also plotted as a function of the QD concentrations in Fig. 3 showing the good agreement between the experimental and theoretical results.

#### IV. CONCLUSION

We demonstrated that individual voxels emitting visible light in all direction can be created through TPA in QDs dispersed in

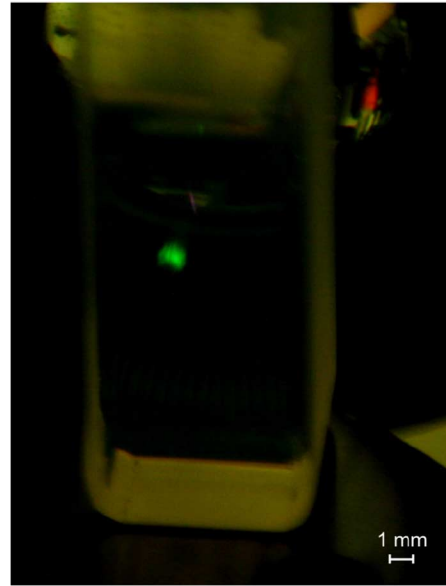


Fig. 2. Single green voxel generated by TPA in a  $7.97 \times 10^{14} \text{ cm}^{-3}$  concentration of 560 nm core-shell CdSe/ZnS QD in toluene. Photograph taken with a 950–1070 OD7+ filter.

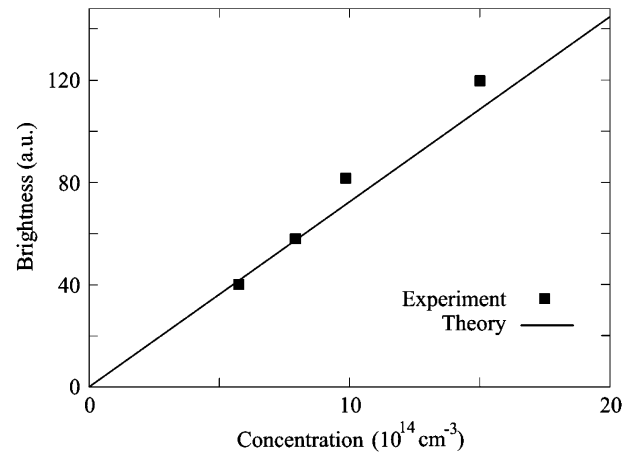


Fig. 3. Relative luminance of voxel as a function of the QD concentration in the dispersion. The dots are the experimental results. The solid line are results of (10) with the vertical axis rescaled by a factor of 0.4.

a transparent medium at the focal point of commercially available pulsed infrared lasers. We investigated this phenomenon theoretically through the derivation of a closed form expression for the luminance of a voxel generated in the material, which we experimentally validated. We are currently extending this study to multicolor volumetric displays based on two-photon absorption in QD dispersions, which will be based on a mixture of QDs, including blue- and red-emitting QDs. Because of the high photo-stability of the QDs, their large TPA cross-section, their impressive quantum efficiency, and the size dependence of the emission wavelength, QDs dispersed in a transparent host material hold a promise to become the preferred display material for practical volumetric displays. Such displays would have numerous military and civilian applications.

## APPENDIX

In this appendix, we show the derivation of the expression (10) for the luminance of a voxel generated in QDs dispersed in a transparent solution and excited by a focused laser beam. The optical power emitted inside a voxel in all directions is proportional to the product between the quantum efficiency,  $\Phi$ , and the absorbed power, which is equal to the irradiance multiplied by the transversal area of the voxel. The optical power emitted is also proportional to the ratio between the emitted photon energy and twice the photon energy of the excitation source. This last term accounts for the energy absorbed from the excitation laser that is lost due to vibrational relaxation in the QDs. The optical power emitted by the quantum dots in a voxel is equal to

$$P_{\text{emitted}} = \Phi \times \sigma_{2p} C_p^2 L_{vx} \Delta t f_{\text{rep}} \times \pi W_{vx}^2 \times \frac{\lambda_{\text{exc}}}{2\lambda_{\text{emi}}}. \quad (13)$$

The parameters in (13) are given in Section II-C. The luminance of the voxel is proportional to the optical power emitted by the voxel divided by the voxel area projected along the viewing direction. Because the excitation source is most likely located at the bottom of the volumetric display and the viewing direction will be primarily from the sides of the display, the area of the voxel viewed from the viewing direction will be equal to the longitudinal area of the voxel. The longitudinal area of the voxel is equal to  $A_{\text{long}} = 2W_{vx}L_{vx}$ . Since the conversion factor from  $\text{W/m}^2$  to 1 nit is  $683/(4\pi)$ , multiplying (13) by this factor and dividing the result by the longitudinal area  $A_{\text{long}}$  results in the expression for the luminance in nits shown in (10).

Since the light emitted by the voxel can be, depending on the concentration, significantly absorbed by the QD dispersion because of the overlap between the emission and the absorption spectrum, part of the light produced by the voxel will be re-absorbed in the QD dispersion and will not contribute to the luminance. Therefore, the expression that corrects the value of the voxel luminance, which follows the Beer's law because the voxel light is not directional and weak, is

$$B = B_o \exp(-\sigma_{a,\text{emi}} C L_{\text{view}}) \quad (14)$$

where  $B_o$  would have been the value of the luminance in the absence of overlap between the emission and the absorption spectrum. The other parameters in (14) are described in Section II-C. The exponential term in (14) can not only lead to a reduction in the effective luminance, but can also give rise to a background glow due to the emission from the QDs that are not at the focal point. Therefore, (14) can be used as the guideline to determine the maximum QD concentration that can be used in the QD dispersion.

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