

NORTH DAKOTA STATE UNIVERSITY

Guidelines for Promotion and Tenure Portfolio Preparation 2020-2021

NDSU Promotion and Tenure Portfolio Preparation Guidelines are based on NDSU [Policy 352](#) Promotion, Tenure, and Evaluation (PTE) and serve as the official guidelines for the preparation of the portfolio to be submitted by the candidate applying for promotion and/or tenure. All PTE processes and recommendations on faculty promotion and tenure are to be in accordance with Policy 352. These Guidelines are not intended to substitute for Policy 352 or the College/Department PTE documents. Candidates are strongly encouraged to become familiar with Policy 352 and College/Department PTE documents.

All candidate portfolios should be prepared following these guidelines which will be submitted to the Department Chair (*or Head or School Director*) for review at the departmental level using procedures developed by the department. Units should use the [NDSU PTE Timeline](#) (available at www.ndsu.edu/provost) as a guide for preparing Department/College timeline for promotion and tenure evaluation.

THE PORTFOLIO

The Portfolio should stand on its own merits. It should provide a complete and accurate record of the candidate's accomplishments in teaching, research, extension, and service with the following exceptions: **in courses taught (G.1.), candidates with no prior service credit to list only courses taught at NDSU; and in university service (I.2), limit this to only committees at NDSU. Clearly define candidate's role in each endeavor, wherein the candidate is not the sole author, investigator, or inventor.** Candidates applying for promotion to the rank of full professor more than eight years after the previous promotion may choose to be evaluated based on work completed in the eight years immediately prior to applying rather than on their entire post-promotion record.

The portfolio should be submitted electronically (NDSU Policy 352.6.1). In addition to the electronic submission, candidates may be asked to submit their portfolio in one-inch three-ring binder with the Candidate's name on the spine. The capacity of a one-inch binder is 175-200 pages. Labeled index dividers to separate major sections of the portfolio may *be ordered from Print and Copy Services (also available from Office of the Provost/Faculty Affairs)*. After the completion of the department and college level reviews, the portfolio should be submitted to the Office of the Provost/Faculty Affairs.

The candidate should consult with the respective Department Chair to obtain unit guidelines as to the format (electronic or hard copy) and number of copies to be submitted within the College, as well as any other information or documentation the College might require. The candidate is encouraged to keep a copy of the entire portfolio as submitted for personal records. The copy submitted to the Office of the Provost/Faculty Affairs will become a part of the official university record and will be kept in the College Dean's Office for placement in the Candidate's personnel file.

The Portfolio is divided into three parts: (1) Part I consists of information for which the candidate is responsible for providing; (2) Part II consists of information for which the Department Chair and College Dean are responsible for providing; and (3) Part III consists of the candidate's current curriculum vitae. In addition, the candidate should have available, if requested, a copy of, or parts thereof, supplemental materials, e.g., reprints, offprints, syllabi, or any other materials that illustrate the candidate's achievements in teaching, advising, research, extension, outreach, and service, and referred to in the Portfolio (see table 1).

As materials are added to the portfolio at each level of the review process, copies will be provided to the candidate (and the Department Chair, College Dean, and Chair of Department/College PTE Committee Chairs). The candidate will have 14 calendar days to provide a written response to each level of review. After the deadline for submission of the portfolio to the College Dean's Office, the information that may be added to the portfolio is limited to any materials requested by the evaluators. Candidates may petition

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the College Dean and College PTE committee to add additional materials after the deadline. Specific instructions for preparing each section of the portfolio are as follows.

Table 1. The Portfolio (Should fit one-inch three-ring binder).

Part I (submitted by candidate)	
Checklist (page 1 of portfolio)	G. Teaching, Advising, and Curriculum Development
A. Cover Page (page 2 of portfolio)	H. Research, Creative, Scholarly, and Professional Activities
B. Table of Contents (page 3 of portfolio)	I. Service
C. Appointment Letter and Position Description(s)/Special Agreements	J. Awards and Honors
D. Academic Background	K. Department and College Promotion and Tenure Criteria
E. Academic Experience/Employment History	L. Annual Appraisals/Evaluations and Third Year Review Report
F. Statement of Context and Accomplishments	
Part II (added by Department Chair, Dean, PTE Committee, etc.)	
M. Recommendations	N. Letters of Evaluation
Part III (submitted by candidate)	
O. Current Curriculum Vitae	
Supplemental Materials (by candidate; submitted only upon request)	
<ul style="list-style-type: none"> • Copies/examples of course syllabi/course materials • Evaluations of teaching • Evaluations of research/creative activities and service 	<ul style="list-style-type: none"> • Letters of professional recognitions for awards/honors • Publications (articles, books, manuscripts) • Verifications of creative performances/exhibit

PART I

Policy & Procedures Checklist for Portfolio Evaluation. Use the standard [checklist](#) available at <https://www.ndsu.edu/provost>. *Format found on [page 8](#) of this guide.*

A. Cover Page. Use standard [cover page](#) available at <https://www.ndsu.edu/provost>. *Format prescribed and found on [page 9](#) of this guide.*

Added Materials Inventory. At the time that any materials are added to the candidate’s portfolio, copies of the added materials must be sent to the candidate for review. The candidate shall have 14 calendar days to respond in writing to the added materials. As materials are added, they must be listed on the Cover Page Section requiring a description of the materials, who added them, and the date.

B. Table of Contents *See example on [page 10](#) of this guide.*
 All pages in the Portfolio must be numbered.

C. Appointment Letter and Position Description/Special Agreements

Include copies of:

1. Letter of appointment.
2. All NDSU job descriptions with dates for tenure candidates. For promotion candidates provide job descriptions since last promotion at NDSU or copies of all job descriptions since hire for those who have not gone through the promotion process. *The current job description should specify all stated work expectations (including percent of time expectations) and the teaching, advising, research, outreach, extension, service, and administrative responsibilities agreed upon by the candidate, the Department Chair and Dean, if appropriate.*
3. Prior service agreements for the candidate on a tenure-track appointment (*if applicable*). For faculty hired with tenure credit how prior work is considered must be specified in the appointment letter (NDSU Policy 352, 3.5.2).
4. Previous consideration for promotion and/or tenure (*if applicable*).

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D. Academic Background

List:

1. Degrees, in chronological order, with conferring institutions, areas of concentration, and dates.
List doctoral adviser(s).
2. Post-doctoral or other educational experiences with institutions, areas, mentors, and dates.
3. Licenses or certifications with issuing states or organizations and effective dates.

E. Academic Experience/Employment History

List **all** positions (with titles) in academia, government, or industry, in chronological order, institutions, ranks, and dates, including positions at NDSU.

F. Statement of Context and Accomplishment

Provide a succinct context statement for academic record (maximum of three pages) describing philosophy, accomplishments, and other comments about major achievements in the areas of teaching, advising, research, extension, outreach, and service. For example, one might describe how these activities have contributed to the candidate’s professional growth, productivity, and development; how the activities relate to the candidate’s discipline; how the activities relate to the mission of NDSU; and how the activities have helped NDSU stakeholders and citizens of North Dakota.

G. Teaching, Advising, Extension, and Curriculum Development

Criterion (adapted from [Policy 352](#)). In teaching, the following criteria apply to evaluation of contributions by a candidate for promotion, tenure, and post-tenure review: (i) The effective delivery of instruction to and the stimulation of learning by students and/or clients; (ii) The continuous improvement of courses or instructional programs; and (iii) The effective advising and mentoring of undergraduate and/or graduate students.

Supporting information and evidence.

1. Courses taught and student ratings. List, in reverse chronological order, of all courses taught at NDSU, beginning with the class most recently taught, course numbers, term/semester, year, and number of students enrolled at the census date for that term, (usually the fourth week enrollment), and SROI scores. **If not the sole instructor, clearly define candidate’s role in the course.** While the past practice has been to report the scores for questions 2 and 4, candidates can include additional SROI scores in their portfolio. See department and college PTE policies for guidance and report scores in format shown below.

Term	Prefix	Course Number	Title	Credits	Enrollment	% Responsibility	SROI Scores

Written comments by students are not required; however, if the candidate chooses to include them, all comments must be included.

2. Quality of teaching (administration and peer evaluation). Provide statement by a) Department Chair (*if teaching was not evaluated as part of annual review*), and b) peers which evaluates: (i) course content and design; (ii) teaching methods; (iii) individual contributions to the improvement of instructional programs; and (iv) impact on student learning.
3. Curriculum development.
 - a. List contributions in curriculum development including: (i) employment of innovative ideas; (ii) incorporating new techniques in classroom presentations; and (iii) incorporating new techniques and delivery methods off-campus and outside the classroom; (iv) development and improvement of instructional materials.
 - b. List educational committees and activities at departmental, college, and university level primarily involved with teaching/education/curriculum/program development.

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4. Advising. If advising is a part of the candidate's responsibility, provide description of
 - a. Academic and co-curricular advising responsibilities.
 - b. Formal undergraduate academic advising (give number of student advisees, how often they typically meet with the adviser).
 - c. Student rating of advising (evaluation by advisees of the quality of advising).
 - d. Co-curricular advising (e.g., faculty adviser for student professional organizations).
 - e. Undergraduate student researchers mentored.
 - f. K-12 student mentored.
5. Graduate students. Provide a description of work with graduate students including as
 - a. Major Professor (list student names, degree, date of graduation, and thesis/paper/dissertation titles).
 - b. Examining Committee Member (list student names, degrees, departments, and dates).
 - c. Postdoctoral trainees (list names and dates).
 - d. Other trainees (list names and dates).
6. Extension activities.
 - a. List (chronological) extension courses and seminars presented. Indicate the candidate's role and provide pertinent evaluation/assessment information.
 - b. List extension related consultation (business, community, educational) if the activities are different from those listed in public service (in section I.3).
7. Outreach activities.
 - a. List (chronological) courses and seminars presented. Indicate the candidate's role. *While not required or expected*, candidates may provide evaluation/assessment information.
 - b. List professional consultation (business, community, educational) if the activities are different from those listed in public service (in section I.3).
8. Personal/professional development to improve teaching, advising, outreach, and extension effectiveness.

List by name, place, and date of participation in activities to improve teaching ability, such as faculty development activities, seminars, workshops, teaching grant activities, and pedagogical activities at professional meetings.
9. Teaching, advising, extension, outreach, and curriculum development related awards and honors.

List and describe awards and honors.

H. Research, Creative, Scholarly, and Professional Activities

Criterion (adapted from [Policy 352](#)). In research and creative activities, the following criteria apply to evaluation of contributions by a candidate for promotion, tenure, and post-tenure review: (i) Contributions to the knowledge, either by discovery or application, resulting from the candidate's research; and/or; (ii) Creative activities and productions that are related to the candidate's discipline.

Supporting information and evidence. Clearly define candidate's role in each endeavor, wherein the candidate is not the sole author, investigator, or inventor.

1. Publications. Provide a complete list of all published manuscripts and other scholarly efforts and creative activities. List names of all authors in order of appearance, title, journal, volume, inclusive pages, date; books and book chapters; creative activities; papers and abstracts presented at meetings; invited presentations. Do not include publications "in preparation." If publications are "submitted" but the reviews are not complete, put in a separate section. For manuscripts that have been "accepted" or "forthcoming," place a copy of the editor's acceptance letter in this section. If not the sole author, clearly define candidate's role. Identify graduate advisee and student authors, if applicable. The list of publications should be separated by appropriate headings, e.g., refereed, non-refereed, juried exhibit, reviews, manuscripts, book reviews.
 - a. List refereed journal publications
 - b. List other refereed publications (conference proceedings articles, extension bulletins, etc.)

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- c. List non-refereed publications
2. Presentations at professional meetings. List professional meetings, symposia, and conferences, include meeting dates and role of the candidate, e.g., organizer, chair, invited speaker, discussant, presenter, attendee.
3. Grants, contracts, and awards. If not the sole investigator, clearly define candidate's role.
 - a. List funded grants and contract support including name(s) of principal and co- investigator(s), title, funding source, funding amount, and funding start and end dates.
 - b. List grant and contract proposals submitted but pending decision. Include name(s) of principal and co- investigator(s), title, funding source, funding amount, and funding start and end dates.
 - c. List grant and contract proposals submitted but not funded. Provide succinct description of the outcome of the review. Include name(s) of principal and co- investigator(s), title, funding source, funding amount, and funding start and end dates.
4. Creative activities/research and development of new items. If not the sole inventor, clearly define candidate's role. List any intellectual property developed, e.g., patents, copyrights, cultivar releases, and inventions, plant variety protection, with titles and dates. Finally, list any economic development activities in this section.
5. Personal/professional development to improve research, creative activity. List by name, place, and date of participation in professional development activities to improve research, creative activity.
6. Research and creative activity awards and honors. List and describe awards and honors.

I. Service

Criterion (adapted from [Policy 352](#)). In service, the following criteria apply to evaluation of contributions by a candidate for promotion, tenure, and post-tenure review: (i) Contributions to the welfare of the department, college, university, or profession, and/or (ii) Contributions to the public that make use of the candidate's academic or professional expertise.

Supporting information and evidence.

1. Committee/University involvement. List committees (or other institutional responsibilities) at NDSU, with dates. Include role (e.g., chair, committee member), as well as contribution(s) to faculty governance, campus climate and diversity, and management or improvement of administrative procedures or programs at:
 - a. Department level
 - b. College level
 - c. University level
2. Service to the profession. List memberships and involvement in professional associations (offices held, committee assignments, and leadership), advisory or review panels, study section, task forces, planning groups, or any other evidence of regional, national, or international stature and service to the profession.
3. Service to the public. List service to institutions, governmental units, and consulting (when approved by the university), indicating the type and amount of direct client service, visits by the public, and site visits.
4. Personal/professional development to improve service effectiveness. List by name, place, and date of participation in professional development activities to improve service effectiveness.
5. Service awards and honors. List and describe service awards and honors.

J. Awards and Honors

List awards, honors, or other special recognitions including certifications not listed above. Include award date, an explanation of the award, the organization that gave the award, and the level (local, regional, national, or international).

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K. Department and College Promotion and Tenure Criteria

Place copies of the department's and college's promotion and tenure criteria. Pursuant to NDSU Policy 352.3.3:

- **For probationary faculty** and for non-tenure-line faculty at the assistant professor rank, **the basis for review shall be** the promotion and tenure guidelines and **criteria** of the academic unit that were provided to the candidate **at the time of the candidate's appointment to the position**.
- Tenured and non-tenure-line **candidates for promotion to the rank of full professor** may choose to be **evaluated by the criteria in effect at the time of the previous promotion, if the application is made within eight years** of the previous promotion (to associate professor). Thereafter, candidates shall be evaluated by the criteria in effect at the time of application.

L. Annual Review Reports

Place copies of all prior annual reviews since hire and the Third Year Review Report for tenure and/or promotion to associate professor candidates. For promotion to full professor candidates provide prior annual reviews since last promotion at NDSU or copies of all job descriptions since hire for those who have not gone through the promotion process.

PART II

M. Recommendations

The written recommendations, as provided by department and college processes are placed in this section:

- a. Department PTE Committee recommendation letter
- b. Department Chair recommendation letter
- c. College PTE Committee recommendation letter
- d. College Dean recommendation letter
- e. Candidates in joint or split appointments: While the primary responsibility for the review rests with the department and the college that hold the majority or plurality of the appointments, input should be included from the other units holding the remainder of the appointment.

Written recommendations should include the following information: (1) context and evaluation of the candidate's teaching contribution; (2) context and evaluation of the candidate's research/creative activity contribution; (3) context and evaluation of the candidate's service contributions; (4) potential contributions toward realization of department/college goals/mission; and (5) the recommendation dealing with promotion and/or tenure. (Committees should provide a vote tally.)

N. Letters of Evaluation

Letters of evaluation are not required by [Policy 352](#). **Any letters of evaluation will be solicited by the Department Chair** (see [sample letter on page 11](#) of this guide). They are not to be solicited by the candidate or members of the promotion/tenure committees. Such letters should provide specific evidence of achievement or competence by the candidate in a specific area, but must not include a recommendation for or against promotion and/or tenure. The process and procedures for obtaining these letters must be a part of each unit's promotion and tenure criteria. Letters fall into two categories:

1. Letters from NDSU faculty, staff, and students which are appropriate and helpful for fairness and balance and can often provide constructive assessments of the candidate; and
2. Letters from highly qualified individuals at least at the rank for which promotion is sought from outside the university, providing specific evidence of achievement or competence by the candidate in a specific area. These letters should be solicited from respected leaders and scholars

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at comparable research institutions but should not be solicited from co-authors, co-principal investigators, former professors/advisers/mentors, coworkers, or students.

Letters soliciting an evaluation **must** contain statements pertaining to the following:

- Under North Dakota law, the candidate has a right to review all material in the promotion/tenure file. A copy of each letter is sent to the candidate;
- The coronavirus (COVID-19) pandemic in 2020 created extraordinary circumstances that may have seriously impacted faculty productivity. We ask that in your appraisal, you take into account the extraordinary circumstances in your review of the candidate's contributions; and
- No recommendation is to be made for or against promotion and tenure.

PART III

O. Curriculum Vitae

Current curriculum vitae

Parts of this document have been adapted, with permission, from WICHE institutions, NW Academic Forum, 1992. Other parts have been adapted from NDSU Policy 352.

POLICY & PROCEDURES CHECKLIST FOR PORTFOLIO EVALUATION

According to [Policy 352. 6.6](#), “colleges and departments shall document that they have followed all procedures; e.g., by a comprehensive checklist of the steps in the PTE process. The documentation must be included in the portfolio.”

Originating at the department level, this checklist documents that University procedures have been followed at the different levels of review. **For each candidate**, the evaluating parties are requested to complete their section of the checklist, to sign, date and insert the form **as the first item** in the applicant’s portfolio. The Dean is responsible for giving a copy of the completed form to the applicant.

Candidate’s Name: _____

	Evaluator’s Signature	Date
Department PTE Committee Chair:	Signature	Date
Department Chair:	Signature	Date
Chair of the College PTE Committee:	Signature	Date
Dean of the College:	Signature	Date
Other (e.g. Extension):	Signature	Date

Check “yes” for agreement or “NA” if not applicable. *Be prepared to explain why an item is not applicable.*

	PTE Committee	Chair
Department Level Evaluation		
Solicitation of letters of review followed University’s recommended model.	<input type="checkbox"/> Yes <input type="checkbox"/> NA	<input type="checkbox"/> Yes <input type="checkbox"/> NA
In case of joint appointments, input from other units was requested and included.	<input type="checkbox"/> Yes <input type="checkbox"/> NA	<input type="checkbox"/> Yes <input type="checkbox"/> NA
Members were elected according to department’s PTE document.	<input type="checkbox"/> Yes <input type="checkbox"/> NA	<input type="checkbox"/> Yes <input type="checkbox"/> NA
Potential conflict of interest situations were identified/mitigated.	<input type="checkbox"/> Yes <input type="checkbox"/> NA	<input type="checkbox"/> Yes <input type="checkbox"/> NA
No faculty member or administrator considered for promotion was involved in the review.	<input type="checkbox"/> Yes <input type="checkbox"/> NA	<input type="checkbox"/> Yes <input type="checkbox"/> NA
No administrators, as identified by Policy 352, served on PTE committee.	<input type="checkbox"/> Yes <input type="checkbox"/> NA	<input type="checkbox"/> Yes <input type="checkbox"/> NA
Departmental voting procedures on applicant’s candidacy were followed.	<input type="checkbox"/> Yes <input type="checkbox"/> NA	<input type="checkbox"/> Yes <input type="checkbox"/> NA
The evaluation was based on the written standards and criteria of the department.	<input type="checkbox"/> Yes <input type="checkbox"/> NA	<input type="checkbox"/> Yes <input type="checkbox"/> NA
Candidate received letter of evaluation/recommendation by the due date.	<input type="checkbox"/> Yes <input type="checkbox"/> NA	<input type="checkbox"/> Yes <input type="checkbox"/> NA
Candidate was accorded 14 calendar days to respond.	<input type="checkbox"/> Yes <input type="checkbox"/> NA	<input type="checkbox"/> Yes <input type="checkbox"/> NA
Department-specific procedures were followed.	<input type="checkbox"/> Yes <input type="checkbox"/> NA	<input type="checkbox"/> Yes <input type="checkbox"/> NA
Committee deliberations were kept confidential.	<input type="checkbox"/> Yes <input type="checkbox"/> NA	<input type="checkbox"/> Yes <input type="checkbox"/> NA
The department PTE document is consistent with Policy 352 and College PTE guidelines.	<input type="checkbox"/> Yes <input type="checkbox"/> NA	<input type="checkbox"/> Yes <input type="checkbox"/> NA
Portfolio was submitted to the college level by the due date.	<input type="checkbox"/> Yes <input type="checkbox"/> NA	<input type="checkbox"/> Yes <input type="checkbox"/> NA
College Level Evaluation	PTE Committee	Dean
Members were elected according to College PTE document.	<input type="checkbox"/> Yes <input type="checkbox"/> NA	<input type="checkbox"/> Yes <input type="checkbox"/> NA
No administrators, as identified by Policy 352, served on the College Committee.	<input type="checkbox"/> Yes <input type="checkbox"/> NA	<input type="checkbox"/> Yes <input type="checkbox"/> NA
Potential conflict of interest was identified/mitigated.	<input type="checkbox"/> Yes <input type="checkbox"/> NA	<input type="checkbox"/> Yes <input type="checkbox"/> NA
No faculty member or administrator considered for promotion was involved in the review.	<input type="checkbox"/> Yes <input type="checkbox"/> NA	<input type="checkbox"/> Yes <input type="checkbox"/> NA
Members who voted on the promotion/tenure of a candidate in the department PTE committee were recused from the vote by the College Committee.	<input type="checkbox"/> Yes <input type="checkbox"/> NA	<input type="checkbox"/> Yes <input type="checkbox"/> NA
Information added conformed to materials listed in Policy 352, section 6.2.	<input type="checkbox"/> Yes <input type="checkbox"/> NA	<input type="checkbox"/> Yes <input type="checkbox"/> NA
College and Dean reviews were conducted separately and independently of each other.	<input type="checkbox"/> Yes <input type="checkbox"/> NA	<input type="checkbox"/> Yes <input type="checkbox"/> NA
Evaluation was based on the written standards and criteria of the department and the college.	<input type="checkbox"/> Yes <input type="checkbox"/> NA	<input type="checkbox"/> Yes <input type="checkbox"/> NA
Candidate received copy of letter of evaluation/recommendation by the due date.	<input type="checkbox"/> Yes <input type="checkbox"/> NA	<input type="checkbox"/> Yes <input type="checkbox"/> NA
Committee deliberations were kept confidential.	<input type="checkbox"/> Yes <input type="checkbox"/> NA	<input type="checkbox"/> Yes <input type="checkbox"/> NA
The College’s PTE document is consistent with Policy 352.	<input type="checkbox"/> Yes <input type="checkbox"/> NA	<input type="checkbox"/> Yes <input type="checkbox"/> NA
Portfolio was submitted to the Office of the Provost by the due date.	<input type="checkbox"/> Yes <input type="checkbox"/> NA	<input type="checkbox"/> Yes <input type="checkbox"/> NA
Candidate received copy of the completed policy & procedures checklist.	<input type="checkbox"/> Yes <input type="checkbox"/> NA	<input type="checkbox"/> Yes <input type="checkbox"/> NA

Portfolio Cover Page for Promotion and Tenure 2020-2021

DEMOGRAPHIC DATA:

Name: _____
 Department: _____

Rank: _____
 College: _____

REQUESTED ACTION:

Promotion to rank of: _____ Request for tenure

SPECIAL AGREEMENTS (check, if applicable)

- Previous Consideration (if promotion was not approved, place letters of denial in section C.)
- Prior Service Agreement (place in section C) if not specified in appointment letter. *For faculty hired with tenure credit how prior work is considered must be specified in the appointment letter (NDSU Policy 352, 3.5.2).*

CANDIDATE'S SIGNED STATEMENT

I have reviewed the portfolio and believe it to be accurate, complete, current, and ready for review.

 Signature

 Date

- As any additional materials are included in the portfolio, copies must be provided to the candidate. The candidate will have 14 days to provide a written response.
- If the faculty member was granted an extension of the probationary period for any reason, such an extension does not increase expectations for performance.
- Should the faculty member disagree on the inclusion or exclusion of some materials, the faculty member may indicate his/her objection in this signed statement.

COMMENTS:

ADMINISTRATORS RESPONSIBLE FOR PORTFOLIO REVIEW:

ROUTING	ADDED MATERIALS INVENTORY
Portfolio Received:	Materials Added:
Date:	By:
Date:	Date:
Dept. PTE Committee _____	_____
Dept. Chair _____	_____
College PTE Committee _____	_____
College Dean _____	_____
Director _____	_____
Provost/VPAA _____	_____
President _____	_____

VOTE TALLY

Number of Votes for:	Tenure*			Promotion		
	Recommend	Deny	Abstain	Recommend	Deny	Abstain
Dept. PTE Committee						
Dept. Chair						
College PTE Committee						
College Dean						
Director						

*Evaluations for promotion to Associate Professor and granting of tenure will ordinarily be conducted concurrently.

 Department Chair

 Dean

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PART III

- O. Curriculum Vitae

Sample Request for Outside Letter of Evaluation

(To be solicited by the Department Chair if required by unit PTE Guidelines.)

Date

Dear

Professor _____ is being considered for tenure and/or promotion to _____ in the Department of _____ in the College of _____ at North Dakota State University. We customarily write to a selected group of leaders in the faculty member's discipline asking for an independent assessment of the faculty member's record of (research, creative activity, assessment, or service).

Your appraisal of the significance of Professor _____'s scholarly contributions (to research or service) and the impact of this work on the discipline would be greatly appreciated. Your comments about his/her potential contribution in the future would also be valuable. Please add any additional comments that are relevant to Professor _____'s application for tenure/promotion. In your letter, please also indicate how you know Professor _____.

The coronavirus (COVID-19) pandemic in 2020 created extraordinary circumstances that may have seriously impacted faculty productivity. We ask that in your appraisal, you take into account the extraordinary circumstances in your review of Professor _____'s contributions.

Please note that if Professor _____ was granted an extension of the probationary period for any reason, such an extension does not increase expectations for performance.

Please do not make a recommendation for or against tenure or promotion.

Under North Dakota law, Professor _____ has a right to review all of his/her promotion (tenure) portfolio. I wish to emphasize that it is important that your letter provide an objective and candid assessment of his/her work.

I am aware of the great demands on your time and, therefore, would be especially grateful if you were able to provide us with this evaluation by _____.

Your assistance is much appreciated.

Sincerely,