

**NDSU** NORTH DAKOTA  
STATE UNIVERSITY

STUDENT FOCUSED • LAND GRANT • RESEARCH UNIVERSITY

# Reporting Foreign Influence for Federal Grants

What to disclose when applying  
for federal funding

# What is foreign influence?

Attempts by foreign governments to influence the selection, conduct, and/or reporting of research results.

Diversion of technological advances to a foreign entity to gain unearned economic or military advantage.

Can include everything from espionage to research transparency.

# Timeline

## September 2019

- President's Office of Science and Technology Policy (OSTP) issued a Dear Colleague Letter

## January 2021

- National Science and Technology Council issued its J-CORE
- National Security Presidential Memo (NSPM-33)

## January 2022

- Implementation Guidance for NSPM33

# NDSU Core Values

- Gain strength and vitality from the diverse communities we serve
- Welcoming and respectful of differences in people and ideas
- Represent over 80 countries in our graduate student population alone
- Committed to maintaining regulatory compliance in our research activities and demonstrating that we are responsible stewards of external funding (both public and private).



# 5 Key Areas in NSPM-33



NSPM-33 expands  
disclosure requirements for  
scientists

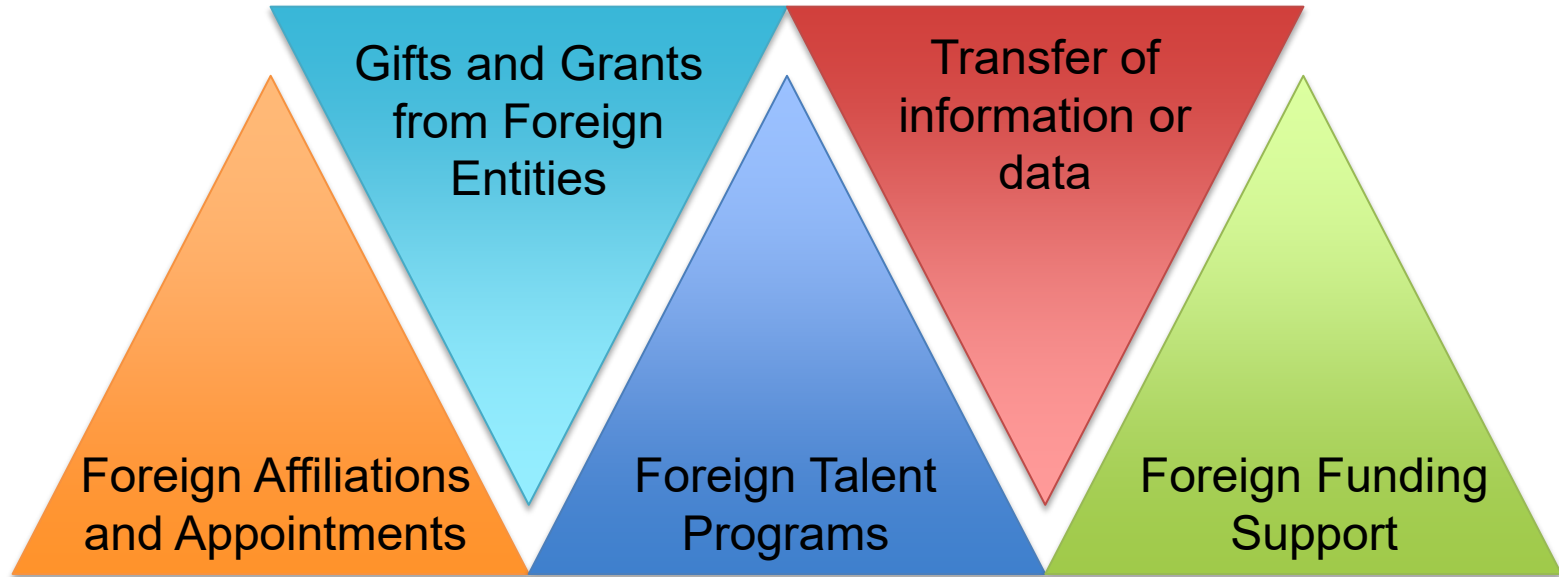
1. Strengthen disclosure requirements and processes
2. Use of Digital Persistent Identifiers
3. Consequences for violations
4. Sharing Information When? To Whom?
5. Development of institutional research security programs

# Who must disclose?

Persons seeking, receiving, or allocating Federal funding

- Principal Investigators (PIs) and other senior/key personnel
- Program officers, reviewers, and members of advisory panels and committees

# What should be disclosed to sponsors?





# How to disclose to sponsors?

Ensure the following are up to date with sponsor:

- Biosketch
- Current and Pending Support
- Collaborators and Other Affiliations



# How to disclose to NDSU?

- COI committee (COIC)
  - Foreign affiliations, positions or appointments at a foreign entity
  - Financial and in-kind contributions
- Discuss invitations to join foreign talent programs with Department Chair/Head, Dean or VP
- Ensure external activities are reviewed via the appropriate processes

# Conflict of Interest Committee

- Evaluates financial conflicts related to federal funding
- Implements management plans as needed
- Reviews conflicting commitments of time and effort on activities outside of NDSU appointment



# Digital Persistent Identifiers (DPIs)

The logo for ORCID, with 'ORCID' in a sans-serif font. The 'O', 'R', 'C', and 'I' are grey, while the 'D' is a vibrant green. A small green dot is positioned above the 'i'.

Connecting Research  
and Researchers

- Unique
- Findable
- Machine readable
- Permanent
- Unambiguous

ORCID is a unique persistent identifier free of charge to researchers.

Federal agencies expected to establish policies by Jan. 2023

# How can YOU mitigate counterintelligence risks

- Foreign Talent plans
- Personal Cyber Hygiene
- Social Media
- Foreign Travel



<https://proconceptsllc.com/mitigate-risk/>

# Questions?

## Contacts:

Kristy Shirley

Research Integrity & Compliance Manager

Val Kettner

Sponsored Programs Administration

Amanda Wilkinson

Research Integrity & Compliance Administrator

Joycelyn Lucke

Industry Engagement & Intellectual Property

Cassie Johnson

Research Development Coordinator

Sharon May

Export Control Administrator