Reporting Foreign Influence for Federal Grants

What to disclose when applying for federal funding
<table>
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<th>What is foreign influence?</th>
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<td>Attempts by foreign governments to influence the selection, conduct, and/or reporting of research results.</td>
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<td>Diversion of technological advances to a foreign entity to gain unearned economic or military advantage.</td>
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<td>Can include everything from espionage to research transparency.</td>
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Timeline

September 2019
- President’s Office of Science and Technology Policy (OSTP) issued a Dear Colleague Letter

January 2021
- National Science and Technology Council issued its J-CORE
- National Security Presidential Memo (NSPM-33)

January 2022
- Implementation Guidance for NSPM33
NDSU Core Values

• Gain strength and vitality from the diverse communities we serve

• Welcoming and respectful of differences in people and ideas

• Represent over 80 countries in our graduate student population alone

• Committed to maintaining regulatory compliance in our research activities and demonstrating that we are responsible stewards of external funding (both public and private).
5 Key Areas in NSPM-33

1. Strengthen disclosure requirements and processes
2. Use of Digital Persistent Identifiers
3. Consequences for violations
4. Sharing Information When? To Whom?
5. Development of institutional research security programs
Who must disclose?

Persons seeking, receiving, or allocating Federal funding

- Principal Investigators (PIs) and other senior/key personnel
- Program officers, reviewers, and members of advisory panels and committees
What should be disclosed to sponsors?

- Gifts and Grants from Foreign Entities
- Transfer of information or data
- Foreign Affiliations and Appointments
- Foreign Talent Programs
- Foreign Funding Support
How to disclose to sponsors?

Ensure the following are up to date with sponsor:

• Biosketch
• Current and Pending Support
• Collaborators and Other Affiliations
How to disclose to NDSU?

– COI committee (COIC)
  • Foreign affiliations, positions or appointments at a foreign entity
  • Financial and in-kind contributions

– Discuss invitations to join foreign talent programs with Department Chair/Head, Dean or VP

– Ensure external activities are reviewed via the appropriate processes
Conflict of Interest Committee

- Evaluates financial conflicts related to federal funding
- Implements management plans as needed
- Reviews conflicting commitments of time and effort on activities outside of NDSU appointment
Digital Persistent Identifiers (DPIs)

ORCiD is a unique persistent identifier free of charge to researchers.

Federal agencies expected to establish policies by Jan. 2023
How can YOU mitigate counterintelligence risks

• Foreign Talent plans
• Personal Cyber Hygiene
• Social Media
• Foreign Travel

https://proconceptsllc.com/mitigate-risk/
Questions?

Contacts:

Kristy Shirley
Research Integrity & Compliance Manager

Val Kettner
Sponsored Programs Administration

Amanda Wilkinson
Research Integrity & Compliance Administrator

Joycelyn Lucke
Industry Engagement & Intellectual Property

Cassie Johnson
Research Development Coordinator

Sharon May
Export Control Administrator