Export Control International Shipment Review Form

Please prepare the following form and forward to the Export Control Office for review and approval prior to shipping.

Name: Click here to enter text. Department: Click here to enter text.
Phone: Click here to enter text. Email: Click here to enter text.
Date item to be shipped: Click to enter date.
Shipping Company: Click here to enter text. Tracking number: Click here to enter text.

1. Classification of Item(s), Technology and Software to be Shipped
   a. Describe the item(s) to be exported: Click here to enter text.
   b. Is the item to be shipped a Biological Materials? ☐ Yes ☐ No
      i. ☐ Human, ☐ Animal, ☐ Bacterial, ☐ Viral, ☐ Genetically Modified Organisms
      ii. Is the item/material a biological agent or genetic element that involves an export controlled pathogen (See below for information on Export Control Classification Numbers 1C351, 1C352, 1C353, 1C354, 1C360, or Category XIV—Toxicological Agents, Including Chemical Agents, Biological Agents, and Associated Equipment)? ☐ Yes ☐ No
      iii. Is the item/material a vaccine, immunotoxin, medical product or diagnostic and food testing kit (See below for information on Export Control Classification Number 1C991)? ☐ Yes ☐ No
      iv. Is the item considered biological processing equipment (See below for information on Export Control Classification Number 2B352)? ☐ Yes ☐ No
   c. Is the item(s), technology or software subject to the Export Administration Regulations (EAR)? ☐ Yes ☐ No
      i. If yes, what is the Export Control Classification Number (ECCN)? Click here to enter text.
      ii. What are the reasons for the control? Click here to enter text.
   d. Is the item(s) or technical data subject to the International Traffic in Arms Regulations (ITAR)? ☐ Yes ☐ No
      i. If yes, what is the ITAR category? Click here to enter text.

For information regarding export control-listed pathogens, toxins and equipment and assistance with classifying the item/material visit http://www.bis.doc.gov/index.php/regulations/export-administration-regulations-ear, or http://www.ecfr.gov/cgi-bin/text-idx?SID=86008bdff1ff2e79cc5df41a180750a&node=22:1.0.1.13.58&rgn=div5, or contact the Export Control Office at ndsu.exportcontrols@ndsu.edu or 701-231-6455

2. Shipping Destination Information
   a. Country of destination/end-use: Click here to enter text.
   b. Name(s) of recipient or recipient’s entity/corporation/business (known end-user/organization): Click here to enter text.
   c. National origin and/or citizenship of recipient (known end-user): Click here to enter text.

3. Shipping Destination Controls
   a. Is an export license required based on the ECCN for the item/technology and its destination (Country
Chart? ☐ Yes ☐ No
b. Is a license exception available? ☐ Yes ☐ No
c. Is the country of destination or the location of the end-user a country of concern because of embargoes or sanctions? ☐ Yes ☐ No

4. Type/Reason for Export
☐ Temporary – will return within one year from date of shipment
☐ Repair and return
☐ Test and return
☐ Permanent
☐ Other Click here to enter text.

Exports may not be allowed to U.S. government sanctioned or embargoed countries or to an end-user in the sanctioned or embargoed country.

5. Prohibited End-Use or End-User Review
   a. The export will be used for nuclear activity end use or by persons engaged in nuclear activities; missile technology end-use; chemical or biological weapons end-use; or proliferation activities? ☐ Yes ☐ No
   b. The end-user is involved with terrorism or the financing or support of terrorism? ☐ Yes ☐ No
   c. Are Red Flag indicators are raised by the export transaction? ☐ Yes ☐ No
   d. A U.S. government agency instructed you not to transact business with this person/entity? ☐ Yes ☐ No
   e. Any party to the transaction asked you to participate in an international boycott (e.g., a request to refuse to work with another person on the basis of race, religion, sex, or national origin)? ☐ Yes ☐ No

6. Restricted Party Screening – Contact the Export Control Office if assistance is needed with the screening.
   f. Has the proposed recipient been screened against the restricted party lists including: Denied Persons List; Unverified List; Entity List; the Specially Designated Nationals List; Debarred List or the list of entities subject to Nonproliferation Sanctions? ☐ Yes ☐ No
   g. If no, do not ship the item until screening has been completed.

7. Is the value of the item(s) being shipped more than $2,500? (Value is selling price or fair market value in U.S. dollars) ☐ Yes ☐ No

8. Is there a MTA or other agreement in place with the recipient? ☐ Yes ☐ No

I certify that the information contained on this form is accurate and complete to the best of my knowledge and belief.

__________________________________________  __________________________
Signature                             Date

Keep this document and all other export related documentation for five years from the date of shipment.

The Export Control Office may be contacted by telephone at telephone at ndsu.exportcontrols@ndsu.edu or 701-231-6455.