

Introduction

As indicated in the RCA Annual Report, the state of research is alive and well at North Dakota State University. Our industrious faculty, staff, and students submitted 1,338 proposals during FY 2017, which is the second-highest recorded number of proposals in our history. These proposals requested more than \$238,224,000 in funding from external sponsors, including federal and state government, private industry, commodity groups, and foundations.

The federal government remains our largest supporter, providing nearly 67% of total external award funding. Additional contributor categories include 11% for commodity groups, 9% for state funding and 4.3% for private industry. Comparing category totals between FY 2017 and FY 2016 highlights some notable increases, including 57% for other governmental offices, 21% for private industry and 11.5% in the commodity category.

Sponsored Programs Administration continues to encourage NDSU's creative and innovative faculty, staff, and students by supporting the pursuit of external funding to support their research, scholarship, and creative activities. As we applaud the successes and accomplishments of the past year, we continue our commitment to the enhancement of future services and support. This report provides an abbreviated quantitative snapshot of FY 2017 awards.

Breakdown of external awards and federal formula funds:

| | *FY 2015 | *FY 2016 | FY 2017 |
|---|----------------------|----------------------|---------------------|
| External Awards | *\$65,486,702 | *\$70,615,037 | \$61,717,564 |
| <i>Total External Awards</i> | *\$65,486,702 | *\$70,615,037 | \$61,717,564 |
| Hatch/McIntire-Stennis Funds | \$3,184,546 | \$3,184,348 | \$3,144,546 |
| Extension Service Smith-Lever Formula Funds | \$3,920,040 | \$3,919,415 | \$3,977,043 |
| Student Financial Aid | \$11,021,451 | \$10,530,068 | \$10,538,815 |
| <i>Total Federal Formula Funds</i> | \$18,126,037 | \$17,633,831 | \$17,660,404 |
| Grand Total | *\$83,612,739 | *\$88,248,868 | \$79,377,968 |

Estimated R&D expenditures reported to the National Science Foundation total \$153.1 million for NDSU in FY 2017.

*Adjustments were made to the previously published FY 2015 & FY 2016 External Award totals. The adjusted totals are included here.

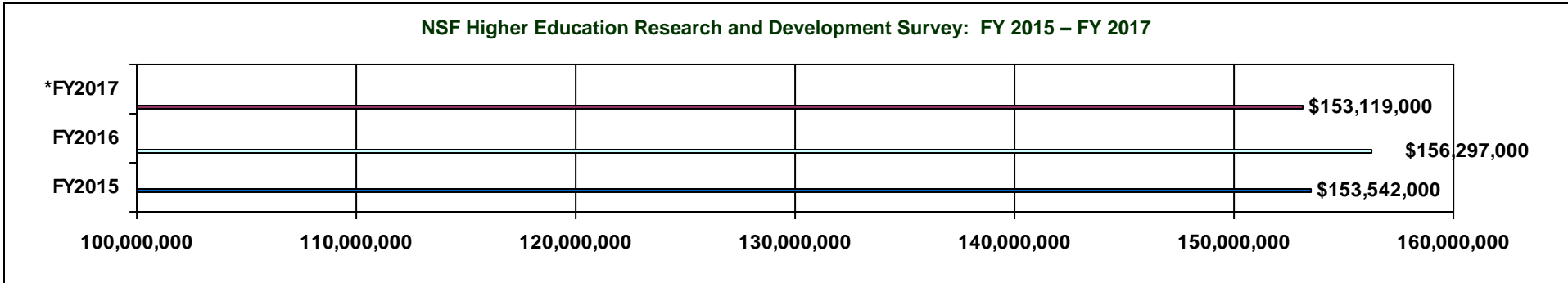
Table of Contents

| | |
|--|---|
| Introduction | 1 |
| Table of Contents | 2 |
| Summaries and Comparisons | |
| NSF Higher Education Research and Development Survey: FY 2015 through FY 2017..... | 3 |
| Proposals Processed through Sponsored Programs and Total Dollars Requested from External Funding Sources: FY 2015 through FY 2017..... | 4 |
| Summary of External Awards listed by Lead PI's College/Unit: FY 2015 through FY 2017..... | 5 |
| Summary of External Awards by Source: FY 2015 through FY 2017..... | 6 |

NSF Higher Education Research and Development Survey (HERD)

Each year, the National Science Foundation requests data from universities and colleges on their respective fiscal year research and development expenditures. The survey is developed and conducted by the NSF Division of Science Resources Statistics (SRS) and fulfills the legislative mandate of the National Science Foundation Act to “provide a central clearinghouse for the collection, interpretation, and analysis of data on scientific and engineering resources”. The SRS develops and directs about 14 surveys and annually produces about 30 publications related to resources devoted to science, engineering, and technology. The surveys contribute to decisions affecting higher education, Congress, the executive branch, and education associations using institutional data.

The data represented below is generated from funding awarded to NDSU. Sources of funding include: Federal government, State and local government, industry, institution, and other sources such as non-profit foundations. Institutional funds include state appropriations, tuition and fees, and gifts expended for research and development activities. In addition, un-reimbursed indirect costs and cost sharing are included as part of the institutional data.



| Field | FY 2015 | FY 2016 | FY 2017* |
|------------------------------------|--------------------|--------------------|--------------------|
| Engineering | 12,678,000 | 13,009,000 | 13,052,000 |
| Physical Sciences | 10,951,000 | 10,684,000 | 8,120,000 |
| Environmental Sciences | 705,000 | 439,000 | 613,000 |
| Mathematical Sciences | 777,000 | 979,000 | 901,000 |
| Computer Sciences | 3,949,000 | 2,878,000 | 3,285,000 |
| Life Sciences | 95,345,000 | 103,685,000 | 103,148,000 |
| Psychology | 3,590,000 | 4,105,000 | 3,629,000 |
| Social Science | 16,906,000 | 12,250,000 | 11,635,000 |
| Other Sciences (Interdisciplinary) | 4,033,000 | 4,077,000 | 4,845,000 |
| Non-Science & Engineering | 4,608,000 | 4,191,000 | 3,890,000 |
| | 153,542,000 | 156,297,000 | 153,119,000 |

* FY 2017 Preliminary Figures and subject to change

**Proposals Processed through Sponsored Programs Administration
And Total Dollars Requested from External Funding Sources
FY 2015 - FY 2017**

| College/Unit* | FY 2015** | | FY 2016 | | FY 2017 | |
|--|-------------|----------------------|-------------|----------------------|-------------|----------------------|
| | # Proposals | Requested | # Proposals | Requested | # Proposals | Requested |
| College of Agriculture, Food Systems and Natural Resources | **10 | **\$137,396 | 21 | \$361,922 | 20 | \$909,891 |
| Agriculture Experiment Station and Extension Service | 661 | \$64,661,987 | 619 | \$45,345,585 | 709 | \$65,584,698 |
| College of Arts, Humanities and Social Sciences | 61 | \$2,544,075 | 60 | \$2,034,506 | 58 | \$5,514,354 |
| College of Business | 2 | \$5,000 | 3 | \$119,844 | 2 | \$21,000 |
| College of Engineering | 173 | \$43,308,647 | 166 | \$47,745,548 | 164 | \$33,164,097 |
| College of Human Development and Education | 43 | \$4,719,504 | 30 | \$2,178,982 | 42 | \$1,188,876 |
| College of Health Professions | 78 | \$24,792,569 | 76 | \$40,508,646 | 113 | \$55,597,597 |
| College of Science and Mathematics | 147 | \$53,954,944 | 156 | \$51,980,522 | 140 | \$42,267,501 |
| University Miscellaneous Offices | **118 | **\$14,542,076 | 51 | \$12,555,680 | 47 | \$23,216,860 |
| Upper Great Plains Transportation Institute | 38 | \$6,986,351 | 59 | \$19,594,026 | 43 | \$10,759,719 |
| TOTAL | 1331 | \$215,652,549 | 1241 | \$222,425,261 | 1338 | \$238,224,593 |

*College/unit categories are determined by the principal investigator's department only; co-investigators' departments are not reflected.

**Adjustments were made to the previously published FY 2015 Proposals Processed by College. The adjusted College amounts are included here.

Total Dollars Awarded from External Funding Sources FY 2015 - FY 2017

| | FY 2015** | FY 2016** | FY 2017 |
|--|-----------------------|-----------------------|---------------------|
| College/Unit* | Awarded | Awarded | Awarded |
| College of Agriculture, Food Systems & Natural Resources | \$65,645 | \$245,373 | \$232,264 |
| Agriculture Experiment Station & Extension Service | \$22,062,871 | **\$25,959,370 | \$20,583,928 |
| College of Arts, Humanities & Social Sciences | **\$1,437,846 | **\$1,479,586 | \$1,251,191 |
| College of Business | \$3,000 | \$27,000 | \$111,083 |
| College of Engineering | \$3,604,157 | \$3,514,252 | \$3,968,227 |
| College of Human Development & Education | \$854,294 | \$1,684,601 | \$980,757 |
| College of Health Professions | \$4,247,266 | \$6,235,743 | \$7,471,284 |
| College of Science & Mathematics | ***\$14,764,781 | ***\$12,405,847 | \$6,036,695 |
| University Miscellaneous Offices | ***\$11,208,792 | ***\$12,430,651 | \$13,280,827 |
| Upper Great Plains Transportation Institute | \$7,238,050 | \$6,632,614 | \$7,801,308 |
| TOTAL | **\$65,486,702 | **\$70,615,037 | \$61,717,564 |

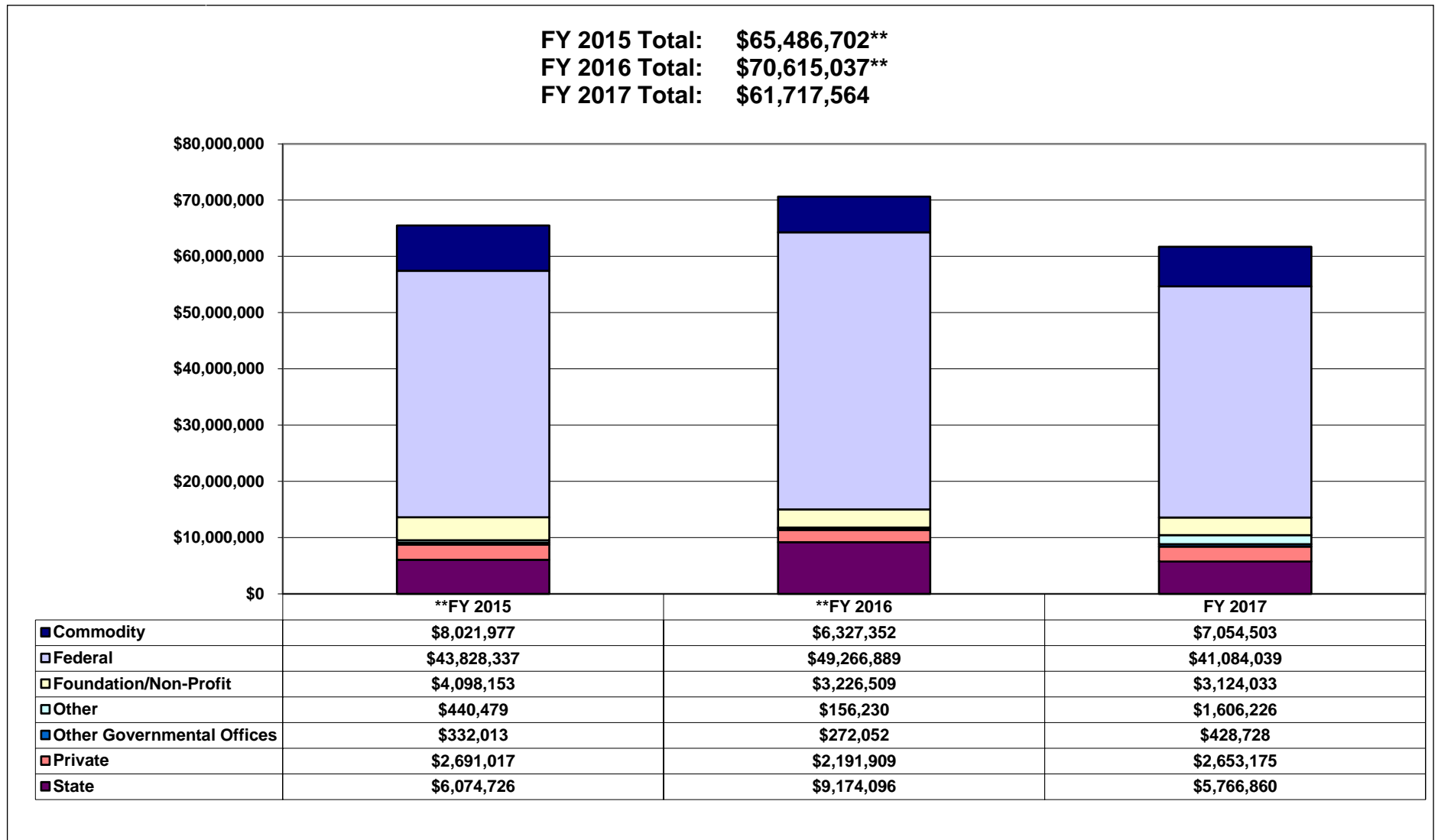
*College/unit categories are determined by the principal investigator's department only; co-investigators' departments are not reflected.

**Adjustments were made to the previously published FY 2015 and FY 2016 External Funding Sources total. The adjusted totals are included here.

***In FY 2016 report, FY 2015 and FY 2016 Awarded amounts for Science & Mathematics & University Miscellaneous Offices were transposed. The transposed numbers are corrected here.

Summary of External Awards by Source*

FY 2015 - FY 2017



*Federal formula funds and state appropriated dollars are not included.

** Adjustments were made to the previously published FY2015 and FY2016 source totals and External Awards totals. The adjusted totals are included here.