

## RESEARCH STATEMENT

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My area of research is commutative algebra, particularly the research of multiplicity, integral closure and reduction of ideals. The concept of multiplicity originated as a geometric invariant. Given a field  $k$  and polynomials  $f_1, \dots, f_k$  in  $n$  variables (elements of the ring  $k[x_1, \dots, x_n]$ ) let  $(a_1, \dots, a_n)$  be a point in  $k^n$  such that each  $f_i$  satisfies  $f_i(a_1, \dots, a_n) = 0$ . Let  $R$  be the ring  $(k[x_1, \dots, x_n])/(f_1, \dots, f_n)$  and  $\mathfrak{m} = (x_1 - a_1, \dots, x_n - a_n)$ . Consider the length  $\lambda(R/\mathfrak{m}^n)$ . This becomes polynomial for large values of  $n$  of degree  $d$ , which is equal to the dimension of the ring  $R$ . The degree  $d$  coefficient is  $\frac{e(R)}{d!}$  where  $e(R)$  is the multiplicity at that point. My research is focused on studying generalizations of this multiplicity to determine what properties these may characterize.

For a commutative ring  $R$  and an ideal  $I$ , the integral closure of  $I$  is defined to be the ideal

$$\bar{I} = \{r \in R \mid r^n + a_1 r^{n-1} + \dots + a_{n-1} r + a_n = 0 \text{ for some } n \text{ and for } a_i \in I^i\}.$$

For  $I \subseteq J$  ideals in  $R$ ,  $I$  is said to be a reduction of  $J$  if  $IJ^n = J^{n+1}$  for some  $n$ . Reduction ideals were introduced by Northcott and Rees as a tool to study the integral closure of an ideal. We have the following relation between integral closure and reductions.

**Theorem 1.** *Let  $R$  be a commutative noetherian ring and  $I \subseteq J$  ideals. The following are equivalent:*

- (1)  $I \subseteq J$  is a reduction;
- (2)  $\bar{I} = \bar{J}$ ;
- (3)  $J \subseteq \bar{I}$ .

There are many algebraic objects that are of interest when studying the integral closure of an ideal. The algebra  $R[It] = \bigoplus_{n=0}^{\infty} I^n t^n$  is called the Rees algebra and  $R[It, t^{-1}] = \bigoplus_{n \in \mathbb{Z}} I^n t^n$

where  $I^n = R$  when  $n \leq 0$  is the extended Rees algebra. The associated graded ring is defined by

$$G_I(R) = \bigoplus_{i=0}^{\infty} I^i/I^{i+1} \cong R[It]/IR[It] \cong R[It, t^{-1}]/(t^{-1}).$$

If  $(R, \mathfrak{m})$  is a local ring of dimension  $d$  and  $I$  is  $\mathfrak{m}$ -primary, then the lengths  $\lambda(I^i/I^{i+1})$  are finite for all  $i$ . The Hilbert function

$$h(n) = \sum_{i=0}^n \lambda(I^i/I^{i+1})$$

is eventually a polynomial of degree  $d$  with rational coefficients. The leading coefficient of this polynomial is given by  $\frac{e(I)}{d!}$ , where  $e(I)$  is an integer which is called the Samuel multiplicity of  $I$ . It is fairly straightforward to prove that if  $I \subseteq J$  is a reduction, then  $e(I) = e(J)$ .

In 1961, Rees proved the following theorem.

**Theorem 2.** [7, Theorem 11.3.1] *Let  $(R, \mathfrak{m})$  be a local ring and  $I \subseteq J$  be  $\mathfrak{m}$ -primary ideals. If  $I$  is a reduction of  $J$ , then  $e(I) = e(J)$ . Moreover, if  $R$  is formally equidimensional, then the converse also holds.*

The constraint that  $R$  be formally equidimensional is a rather weak assumption; it means that all minimal prime ideals of the completion of  $R$  have the same dimension.

There have been many attempts to generalize Theorem 2 for ideals that are not  $\mathfrak{m}$ -primary, a case in which the classical Samuel multiplicity is no longer defined. The analytic spread of an ideal is defined by

$$\ell(I) = \dim(G_I(R)/\mathfrak{m}G_I(R)).$$

It is known that  $\ell(I)$  is between  $\text{ht}(I)$  and  $\dim(R)$ . In the case that  $\ell(I) = \dim(R)$ ,  $I$  is said to have maximal analytic spread. In 1993, Achilles and Manaresi defined the  $j$ -multiplicity for ideals of maximal analytic spread

$$j(I) = e(H_{\mathfrak{m}}^0(G_I(M))).$$

Further, it was shown that

$$j(I) = \sum_{\mathfrak{p} \in \text{Assh}_{G_I(R)}(G_I(R)/\mathfrak{m}G_I(R))} e(G_I(R)/\mathfrak{p})\lambda((G_I(R))_{\mathfrak{p}}).$$

One can prove that if  $I$  is  $\mathfrak{m}$ -primary, then  $j(I) = e(I)$ .

In 2001, Flenner and Manaresi proved the following theorems.

**Theorem 3.** [6, Lemma 3.2] *Let  $(R, \mathfrak{m})$  be a formally equidimensional local ring and  $I \subseteq J$  ideals. If  $j(I_{\mathfrak{p}}) = j(J_{\mathfrak{p}})$  for all  $\mathfrak{p} \in \text{Spec}(R) \setminus \{\mathfrak{m}\}$ , then  $j(I) \geq j(J)$ .*

**Theorem 4.** [6, Theorem 3.3] *Let  $(R, \mathfrak{m})$  be a formally equidimensional local ring and  $I \subseteq J$  ideals. Then the following are equivalent:*

- (1)  $I \subseteq J$  is a reduction;
- (2)  $j(I_{\mathfrak{p}}) = j(J_{\mathfrak{p}})$  for all  $\mathfrak{p} \in \text{Spec}(R)$ ;
- (3)  $j(I_{\mathfrak{p}}) = j(J_{\mathfrak{p}})$  for all  $\mathfrak{p} \in \bigcup_n \text{Ass}(R/\overline{I^n})$

McAdam [8] proved that the set  $\text{Ass}(R/\overline{I^n})$  is an ascending set of primes which eventually stabilizes and that these primes are exactly the primes in which the analytic spread of  $I_{\mathfrak{p}}$  is maximal. Further, he proved that this set of primes is finite. Thus the equality  $j(I_{\mathfrak{p}}) = j(J_{\mathfrak{p}})$  only needs to be checked at finitely many prime ideals. A better characterization would be one that involves invariants that can be computed by considering only the ring  $R$  and not all of its localizations.

Another generalization of the classical Samuel multiplicity comes from the bigraded ring

$$G_{\mathfrak{m}}(G_I(R)) = \bigoplus_{i=0}^{\infty} \bigoplus_{j=0}^{\infty} \frac{I^i \mathfrak{m}^j + I^{i+1}}{I^i \mathfrak{m}^{j+1} + I^{i+1}}.$$

The Hilbert function

$$h(u, v) = \sum_{i=0}^u \sum_{j=0}^v \lambda \left( \frac{I^i \mathfrak{m}^j + I^{i+1}}{I^i \mathfrak{m}^{j+1} + I^{i+1}} \right)$$

is polynomial for  $u, v \gg 0$  of degree  $d = \dim R$ . The degree  $d$  part of this polynomial is given by

$$\sum_{k+n=d} \frac{c_k(I)}{k!n!} u^k v^n,$$

where  $c_k(I)$  are integers. Originally defined by Achilles and Manaresi in [2], the integer  $c_k(I)$  is called the  $k$ -th generalized Hilbert-Samuel multiplicity. They also proved that  $c_0(I) = j(I)$ . Further, the following was proved.

**Theorem 5.** [2, Theorem 2.3] *Let  $(R, \mathfrak{m})$  be a local ring of dimension  $d$  and  $I$  an ideal of  $R$ . Denote  $\ell = \ell(I)$  and  $q = \dim(R/I)$ . Then:*

- (1)  $c_i(I) = 0$  for  $i < d - \ell$  or  $i > q$ ;
- (2)  $c_{d-\ell}(I) = \sum_{\beta} e(\mathfrak{m}G_I(R)_{\beta})e(G_I(R)/\beta)$  where  $\beta$  runs through all the highest dimensional associated primes of  $G_I(R)/\mathfrak{m}G_I(R)$  such that  $\dim(G_I(R)/\beta) + \dim(G_I(R)_{\beta}) = \dim G_I(R)$ ;
- (3)  $c_q(I) = \sum_{\mathfrak{p}} e(I_{\mathfrak{p}})e(R/\mathfrak{p})$  where  $\mathfrak{p}$  runs through all the highest dimensional associated primes of  $R/I$  such that  $\dim(R/\mathfrak{p}) + \dim R_{\mathfrak{p}} = \dim R$ .

In 2001, Ciupercă proved the following.

**Theorem 6.** [3, Theorem 2.7] *Let  $(R, \mathfrak{m})$  be a local ring,  $I \subseteq J$  ideal. If  $I$  is a reduction of  $J$ , then  $c_i(I) = c_i(J)$  for all  $i = 0, \dots, d$ .*

Several other proofs of this result were given later. See for example [9, Corollary 11.5]. My research has been motivated by finding a suitable converse of this theorem.

To do so, we begin by proving the following result that expresses each generalized multiplicity  $c_k(I, M)$  which is defined in the more general case of  $R$ -modules, as a linear combination of certain local  $j$ -multiplicities.

**Theorem 7.** *Let  $(R, \mathfrak{m})$  be a local ring,  $M$  a finitely generated  $R$ -module of dimension  $d$ ,  $I \subseteq R$  an ideal with  $\ell_M(I) = \ell$ , and denote*

$$\Lambda_k = \Lambda_k(I, M) = \{\mathfrak{p} \mid \mathfrak{p} \in \text{Supp}(M/IM), \dim(R/\mathfrak{p}) = k, \dim(R/\mathfrak{p}) + \dim M_{\mathfrak{p}} = \dim M\}.$$

*Further, let  $\{x_2, \dots, x_{\ell}\}$  be a sequence of elements of  $I$  such that  $x_i$  is a superficial element for  $(I, (M/(x_2, \dots, x_{i-1})M)_{\mathfrak{p}})$  for all  $\mathfrak{p} \in \text{Supp}(M/IM)$  such that  $\dim((M/(x_2, \dots, x_{i-1})M)_{\mathfrak{p}}) = \ell_{(M/(x_2, \dots, x_{i-1})M)_{\mathfrak{p}}}(IR_{\mathfrak{p}}) \geq 2$ .*

*Assume that the following condition is satisfied:*

$$(*) \dim M_{\mathfrak{p}} = \dim(I^n M_{\mathfrak{p}}) \text{ for all } n \geq 0 \text{ and for all } \mathfrak{p} \in \text{Supp}(M/IM)$$

*Then for all  $k = d - \ell, \dots, d$  we have*

$$c_k(I, M) = \sum_{\mathfrak{p} \in \Lambda_k} c_0(IR_{\mathfrak{p}}, (M/(x_2, \dots, x_{d-k})M)_{\mathfrak{p}})e(R/\mathfrak{p}).$$

The technical assumption (\*) is very weak and is always satisfied when  $\text{ht}_M(I) > 0$  or whenever  $c_i(I, M) \neq 0$  for some  $i < d$ .

Again, note that the sum involved is finite by a result of McAdam [8], which states that the only primes for which  $\ell(I_{\mathfrak{p}})$  is maximal are in  $\bigcup_{n \geq 0} \text{Ass}(R/\overline{I^n})$  and this is a finite set. Therefore  $c_0(I_{\mathfrak{p}})$  is nonzero for finitely many primes. We start by showing this equality is true for  $c_q$  where  $q = \dim M/IM$ . This is a module version of the Achilles and Manaresi result (Theorem 5(3)). Then the condition (\*) allows us to replace  $M$  with  $I^n M$  without significantly changing the multiplicity sequence,  $c_k(I, M) = c_k(I, I^n M)$  for  $k = 0, \dots, d - 1$ . Since  $\text{depth}_I(I^n M) > 0$  for  $n \gg 0$ , we can then find a superficial element which is a nonzero divisor so that  $c_k(I, M) = c_k(I, M/xM)$  for  $k = 0, \dots, d - 2$ . From this point we can use induction on the dimension of the module  $M$  to finish the proof.

By the result of Flenner and Manaresi (Theorem 3) relating the local  $j$ -multiplicities and reduction, I am able to prove a partial converse of Theorem 6:

**Theorem 8.** *Let  $(R, \mathfrak{m})$  be a local ring,  $M$  a finitely generated formally equidimensional module, and  $I \subseteq J$  ideals with  $\bigcup_{n \geq 0} \text{Ass}(R/\overline{I^n}) = \bigcup_{n \geq 0} \text{Ass}(R/\overline{J^n})$ . Assume  $c_k(I, M) = c_k(J, M)$  for all  $k \leq d = \dim(M)$ . Then  $I$  is a reduction of  $(J, M)$ .*

In the future, I would like to investigate the following questions.

**Question.** *Is the assumption  $\bigcup_{n \geq 0} \text{Ass}(R/\overline{I^n}) = \bigcup_{n \geq 0} \text{Ass}(R/\overline{J^n})$  necessary in 8?*

The previous question may be considerably difficult. However, in the case that  $\dim R = 2$ , the assumption is not necessary. This leads to the question:

**Question.** *Are there some conditions on the ideals  $I$  and  $J$  and the ring  $R$  where the assumption  $\bigcup_{n \geq 0} \text{Ass}(R/\overline{I^n}) = \bigcup_{n \geq 0} \text{Ass}(R/\overline{J^n})$  is not necessary?*

In considering the recent work of Jefferies and Montaña [5] and the relationship between the  $j$ -multiplicity and the multiplicity sequence, I have considered the following question.

**Question.** *Can the multiplicity sequence for a monomial ideal be calculated by considering some volume?*

Considering that  $c_0(I) = j(I) = e(H_{\mathfrak{m}}^0(G_I(R)))$ , I have considered the following question.

**Question.** *Can the multiplicities  $c_i(I, M)$  be calculated easier by considering the multiplicity of some other module, such as a local cohomology module?*

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