# North Dakota State University Policy Manual

## SECTION 103 RECRUITMENT OF POSITION OPENINGS

SOURCE: NDSU President

This policy addresses requirements and procedures for position openings. Regardless of the position announcement procedures that are followed, all employment decisions within the University are subject to equal opportunity laws and regulations and

https://www.ndsu.edu/sites/default/files/documents/100.pdf. For equal opportunity purposes, all appointments to payroll budget positions and equivalent positions supported by non-appropriated funds are subject to the search, recruiting, and hiring processes in

https://www.ndsu.edu/sites/default/files/documents/201.pdf and https://www.ndsu.edu/sites/default/files/documents/304.pdf of this manual.

In this policy, Section 1 pertains to staff positions. Section 2 pertains to faculty and executive/administrative positions. Section 3 pertains to all positions.

### STAFF

- 1. Staff (as defined in <a href="https://www.ndsu.edu/sites/default/files/documents/101\_1.pdf">https://www.ndsu.edu/sites/default/files/documents/101\_1.pdf</a> generally referred to as "broadbanded employees" include those positions in the following job band: 1000, 3000, 4000, 5000, 6000, and 7000).
  - 1.1 If the appointment is to be .50 FTE or more and the expectation is that the appointee will serve for equal to or more than twenty weeks, the position shall be announced throughout the appropriate recruiting area as defined in <a href="https://www.ndsu.edu/sites/default/files/documents/200.pdf">https://www.ndsu.edu/sites/default/files/documents/200.pdf</a> of this Manual.
  - 1.2 Generally speaking, the recruiting areas are as follows for staff positions:
    - 1.2.1 Administrative/managerial positions in the 1000 band: national.
    - 1.2.2 Professional positions in the 3000 band: regional.
    - 1.2.3 Technical/Paraprofessional (4000); Office Support (5000) Crafts/Trades (6000); and Services (7000): local (Fargo-Moorhead community and/or surrounding counties as applicable).
  - 1.3 When a benefitted staff position vacancy occurs and there is a pool of regular employees appropriately qualified for transfer or promotion (including former employees covered by <a href="https://www.ndsu.edu/sites/default/files/documents/223.pdf">https://www.ndsu.edu/sites/default/files/documents/223.pdf</a>, a unit supervisor may choose to advertise a vacant position internally for a minimum of five (5) working days prior to initiating an external search. Promotion for staff employees is defined as those positions that result in a change of title and compensation associated with it. The procedures, which involve utilizing the online application system for these internal searches, will be the same as those external searches as mentioned in subsection 1 (see <a href="https://www.ndsu.edu/sites/default/files/documents/201.pdf">https://www.ndsu.edu/sites/default/files/documents/201.pdf</a>). Human Resources, in consultation with the unit supervisor, will be responsible to determine whether a pool of

- appropriately qualified employees exists.
- 1.4 If the appointment is either less than .50 FTE or clearly stipulated to be for a total duration of less than twenty weeks (non-benefitted), no formal position announcement posting to the online employment application system is required. Unit supervisors are, however, encouraged to announce benefitted positions. The announcement may be distributed within the University to the eligible staff of the particular administrative unit involved
- 1.5 Recruitment for all benefitted staff positions in the 1000 and 3000 bands shall include the use of a search committee of at least three (3) people to be appointed by the unit administrator at the time the unit requests authorization to fill a position opening. Unit administrators are urged to consider the importance of stakeholder representation when making appointments to search committees.
  - 1.5.1 The search committee shall be involved in recruiting, screening, and interviewing applicants, with particular responsibility to solicit qualified applicants. The search committee shall follow the process set forth in Sections 2.2.3.1 through 2.2.3.5 below regarding selection of finalists A member of Human Resources shall be considered an ex officio member of each search committee and will be available to assist the committee in fulfilling its responsibilities when appropriate.
- 1.6. Although unit leaders are encouraged to post throughout the University any staff position that offers an important promotional opportunity to employees in other departments, the formal procedures for filling positions that involve utilizing the online application system for job announcements (see <a href="https://www.ndsu.edu/sites/default/files/documents/201.pdf">https://www.ndsu.edu/sites/default/files/documents/201.pdf</a>) for broadbanded positions and <a href="https://www.ndsu.edu/sites/default/files/documents/304.pdf">https://www.ndsu.edu/sites/default/files/documents/304.pdf</a> for non-banded) shall be optional in the following cases. (Whenever an appointment is based on one (1) of the following options, the request to recruit must be completed online for benefitted positions and the specific option should be noted in the appropriate section of the online request to offer.
  - 1.6.1 Timeslip employment that is not identified as a payroll budget appointment.
  - 1.6.2 The transfer or promotion of an employee within a department or office, provided that the employee is fully qualified for the new position and was originally hired through a competitive search. This exception excludes faculty positions. This option is governed by <a href="https://www.ndsu.edu/sites/default/files/documents/240.pdf">https://www.ndsu.edu/sites/default/files/documents/240.pdf</a> which provides procedures and the requirement of the hiring department to obtain permission from the Director of Human Resources who will review for appropriateness of the promotion including equitable issues.
  - 1.6.3 When there is concurrence by the hiring department, reassignment due to:
    - 1.6.3.1 An injury resulting in worker's compensation award and subsequent retraining; or
    - 1.6.3.2 A reduction-in-force.
  - 1.6.4 When an employee, at time of hire or within two (2) years of employment, has a spouse or partner who is fully qualified and interested in a university position. (Please note the responsibilities lie with the employed spouse/partner's unit supervisor to encourage the spouse/partner to locate positions that they feel they are fully qualified for and make an appointment with a staff member in Human Resources and/or with the head/chair of the appropriate unit to review the spouse's/partner's education and experience. The hiring department will make the final hiring decision.

- 1.6.5 At the request of the appropriate supervisor, the reinstatement of a former NDSU employee who has left his/her employment within the previous nine (9) months, provided that:
  - 1.6.5.1 The employee had a satisfactory performance record; and
  - 1.6.5.2 The employee is returning to a position requiring similar qualifications and having similar responsibilities; and
  - 1.6.5.3 The position is within the department where he/she worked at the time of resignation.
- 1.6.6 The appointment of an employee to fill a vacant administrative position on an acting basis, normally for a period not to exceed one (1) year, while a search is being conducted for a regular appointee.
  - 1.6.7 With prior approval, the temporary appointment of a person to a grant-funded position when the individual has been instrumental in the development of the project or is identified by name in the proposal as having unique expertise necessary to the project. This temporary appointment may not be continued beyond the period of the grant project, and the individual may not be transferred to any other University appointment without an appropriate search.

Note: To use this option, proposal writers should indicate their intention on the transmittal form and provide a letter of explanation when the proposal is submitted to the Office of Sponsored Programs Administration. Those submitting proposals for internal funding that are not reviewed in Sponsored Programs Administration should contact the Office of the Provost prior to submitting the proposal.

1.6.8 An externally funded appointment as a broadbanded research professional in a department where the individual has just completed an NDSU graduate degree and the assignment involves continuation of the research used for the individual's thesis/dissertation. This appointment is limited to the period for which funding has been given and normally may not exceed two (2) years.

### Faculty and Executive/Administrative Staff

- 2. Executive/Administrative (primarily in 0000 job bands) and benefitted Academic Staff (in 2000 band bands are defined in <a href="https://www.ndsu.edu/sites/default/files/documents/101\_1.pdf">https://www.ndsu.edu/sites/default/files/documents/101\_1.pdf</a>, generally referred to as "non-banded employees," include such positions as tenured and tenure-track faculty and deans. Recruitment falls under two (2) primary categories: half time or less or interim (2.1) and more than half time (2.2).
  - 2.1 Titled and/or Compensated Positions (Less Than .50 FTE or Interim)

    If the appointment is less than .50 FTE or stipulated to be for less than twenty weeks, the titled or compensated position shall be announced internally within the unit (and to other relevant internal units as appropriate to the position). The unit supervisor must ensure transparency and equal opportunities for individuals to learn about and apply for the positions. This means the unit supervisor must announce the position to appropriate

unit(s) internally and accept applications for at least ten (10) working days. Documentation of the announcement and review of applications must be provided to the Office of the Provost before the position is offered so the Office of the Provost can ensure compliance with this policy. Even when using internal searching, for any positions that come with fringe benefits, the formal search process detailed in <a href="https://www.ndsu.edu/sites/default/files/documents/304.pdf">https://www.ndsu.edu/sites/default/files/documents/304.pdf</a> must be followed.

- 2.2 Equal to or Greater Than .50 FTE, Non-Interim Positions
  If the appointment is to be .50 FTE or more and the expectation is that the appointee will serve for equal to or more than twenty weeks, the position shall be announced throughout the appropriate recruiting areas as defined in <a href="https://www.ndsu.edu/sites/default/files/documents/103">https://www.ndsu.edu/sites/default/files/documents/103</a> 1.pdf students).
  - 2.2.1 Generally speaking, the recruiting areas are:
    - 2.2.1.1 Executive/Administrative positions in the 0000 band: national.
    - 2.2.1.2 Benefitted Academic staff such as tenure/tenure track faculty in the 2000 band: national.
    - 2.2.1.3 The 2000 level: lecturer, assistant coach, assistant experiment station specialist, Extension district directors, Extension area specialists, and Extension field staff: regional.
  - 2.2.2 Recruitment for all benefitted executive/administrative and academic staff positions (all those in the 0000 and 2000 job bands) shall include the use of a search committee of at least three (3) people to be appointed by the unit administrator at the time the unit requests authorization to fill a position opening. Unit administrators are urged to consider the importance of representation when making appointments to search committees.
    <a href="https://www.ndsu.edu/sites/default/files/documents/339.pdf">https://www.ndsu.edu/sites/default/files/documents/339.pdf</a> requires for every faculty recruiting committee to include faculty from the unit and at least one (1) student. A unit may wish to include both an undergraduate and a graduate student on the committee.
  - 2.2.3 The search committee shall be responsible for screening and interviewing applicants. The search committee shall seek a wide range of qualified applicants.
    - 2.2.3.1 If after reviewing the applicant pool, the search committee determines that there are three (3) or fewer applicants that meet the minimum qualifications, then all applicants will be designated as finalists.
    - 2.2.3.2 If after reviewing the applicant pool, the search committee determines that there are more than three (3) applicants that meet the minimum qualifications, then the search committee shall name no fewer than three (3) applicants as finalists. The search committee may name more than three (3) applicants as finalists, but may not name fewer than three (3) applicants as finalists. The search committee shall determine the process for reducing the applicant pool to finalists and such process may include elimination of candidates based on application materials, reference checks, and/or pre-finalist round interviews.

- 2.2.3.3 If after reviewing the applicant pool, the search committee determines that it does not wish to consider any of the applications further and an offer of employment will not be made for the vacant position, the search committee need not designate any finalist.
- 2.2.3.4 The application records of finalists shall be subject to disclosure pursuant to NDCC 44-04-18.27. The records of non-finalists shall not be subject to disclosure.
- 2.2.3.5 The search committee shall provide the unit administrator with a determination of which finalists are acceptable for employment, and the unit administrator shall have the responsibility of selecting which finalist will be offered employment.
- 2.2.3.6 The Vice Provost for Faculty Affairs, or designee, shall be considered an ex officio member of each search committee and will be available to assist the search committee in fulfilling its responsibilities.
- 2.2.4 Exceptions to searches for benefitted executive/administrative, and academic and professional broadbanded staff positions listed (greater than .50 FTE and longer than 4 months) are limited to the following:
  - 2.2.4.1 The transfer of an academic staff member from a lecturer line to a probationary appointment as outlined in the employee's original contract provided that he or she had secured the appointment on a nationally competitive basis.
  - 2.2.4.2 An externally funded appointment as a postdoctoral fellow, research scientist, or broadbanded research professional in a department where the individual has just completed an NDSU graduate degree and the assignment involves continuation of the research used for the individual's thesis/dissertation. This appointment is limited to the period for which funding has been given and normally may not exceed two (2) years.
  - 2.2.4.3 With prior approval, the temporary appointment of a person to a grant- funded position when the individual has been instrumental in the development of the project or is identified by name in the proposal as having unique expertise necessary to the project. This temporary appointment may not be continued beyond the period of the grant project, and the individual may not be transferred to any other University appointment without an appropriate search.

Note: To use this option, proposal writers should indicate their intention on the transmittal form and provide a letter of explanation when the proposal is submitted to the Office of Sponsored Programs Administration. Those submitting proposals for internal funding that are not reviewed in Sponsored Programs Administration should contact the Office of the Provost prior to submitting the proposal.

2.2.4.4 When a faculty member has a spouse or partner who is fully

qualified and interested in a university position, a dual career exception to the search process may be made. The department or unit administrator is responsible to contact the Vice Provost for Faculty Affairs as soon as possible. Hiring a spouse or partner depends upon the qualifications of the spouse or partner, the availability of a suitable and acceptable position in each case, and is subject to the approval of the department or unit into which the spouse or the partner will be hired, following an interview process within that unit. For positions in the 0000 job band and positions in the 2000 job band not defined as faculty, 1.6.4 applies.

- 2.2.4.5 At the request of the appropriate supervisor and with unit support, the reinstatement of a former NDSU employee who has left his/her employment within the previous nine (9) months, provided that:
  - 2.2.4.5.1 The employee had a satisfactory performance record; and
  - 2.2.4.5.2 The employee is returning to a position requiring similar qualifications and having similar responsibilities; and
  - 2.2.4.5.3 The position is within the department where he/she worked at the time of leaving.
- 2.2.4.6 When there is concurrence by the hiring department, reassignment due to:
  - 2.2.4.6.1 An injury resulting in worker's compensation award and subsequent retraining; or
  - 2.2.4.6.2 A reduction-in-force.

### **All Positions**

3. Exceptions to this policy may be authorized by the President in unique circumstances. A written request for the Presidential exception is initiated by the hiring department and forwarded through the appropriate supervisory line to the unit's dean or director. If there is support from the dean or director, the request is forwarded to the Provost or the appropriate Vice President. If the request is supported by the Vice President after consultation with the Vice Provost for Student Affairs and Institutional Equity and the unit's dean and director, it is forwarded by the Provost or Vice Provost to the President for consideration.

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#### HISTORY:

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